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Moving Pennsylvania forward and  
creating economic opportunities for all.

**Pennsylvania Department of Transportation**  
Bureau of Equal Opportunity  
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Harrisburg, PA 17105-3251  
Phone: (717)787-5891 or 1-800-468-4201  
Fax: (717)772-4026  
E-mail: [penndotucpinfo@state.pa.us](mailto:penndotucpinfo@state.pa.us)

May 15, 2015

O & S Associates, Inc.  
145 Main Street  
Hackensack, NJ 07601

RE: **Pennsylvania Unified Certification Program**  
**DBE Certification Approval**

DBE Certification #14579  
Anniversary Date - Annually, on: May 15

Attention: Venkitasamy Perumalsamy

The Pennsylvania Department of Transportation (PENNDOT), a certifying participant in the Pennsylvania Unified Certification Program (PA UCP), has reviewed your request for certification as a Disadvantaged Business Enterprise (DBE) and is pleased to inform you that your firm appears to meet the requirements established by the United States Department of Transportation in Title 49, Part 26 of the Code of Federal Regulations. Consequently, your firm is now certified as a DBE to participate in the program in the following classification(s) only:

**NAICS Code 541330**

**“Engineering Services”**

DBE certification continues from the date of this letter, but is contingent upon the firm renewing its eligibility annually with our office. You will be notified in advance of your obligation to provide to our office a copy of your renewal documents. These documents are also available online at [www.paucp.com](http://www.paucp.com). However, the responsibility to assure continued certification is yours. Failure to continue your eligibility will result in immediate action to decertify the firm.

As long as your firm is listed in the PA UCP DBE Directory at [www.paucp.com](http://www.paucp.com), you are DBE certified in Pennsylvania. In order to maintain an accurate Directory, we are requesting that you make the PA UCP aware of any changes in your address, telephone number or specific geographical area where your firm is willing to perform work. If you wish to expand your status to include another type of business, you must contact the PA UCP for reevaluation prior to undertaking any projects as a DBE in the expanded area.

In the event of a change in circumstances affecting your ability to meet size, disadvantage, ownership, and control requirements of Part 26 or any material change in the information provided in your application form; you must inform the PA UCP by means of a Notice of Change Affidavit

**Certifying Participants**

**Allegheny County**  
Department of Minority, Women and  
Disadvantaged Business Enterprises

**City of Philadelphia**  
Philadelphia International Airport  
DBE Program Office

**PENNDOT**  
Bureau of Equal  
Opportunity

**Port Authority of  
Allegheny County**  
Office of Equal  
Opportunity

**SEPTA**  
DBE Program Office

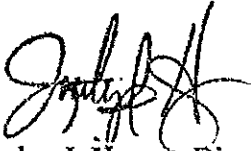
describing in detail the nature of such changes. You must submit a Notice of Change Affidavit (also available online) within 30 days of the occurrence of the change. Failure to do so will be deemed a failure to cooperate. We would also remind you that the PA UCP reserves the right to review your firm at any time to ensure compliance with the program.

Supplier firms that wish to act as a regular dealer must be aware that regular dealer credit cannot be given for drop shipments.

Certified firms with a "trucking" classification must be aware that certain conditions must be met in order to be considered commercially useful. Foremost, the DBE trucking firm must be responsible for the management and supervision of the entire trucking operation for which it is responsible on a particular contract, and there cannot be a contrived arrangement for the purpose of meeting DBE goals. The DBE trucking firm must itself own and operate at least one fully licensed, insured, and operational truck used on the contract. For a full list of these conditions, consult Part 26.55 (d)(1) through (6) of the aforementioned regulation.

We are pleased to have you as a Disadvantaged Business Enterprise and wish you success in acquiring work within the DBE program. If you have any questions, please contact my office at (717) 787-5891 or the 800 number listed at the top of this letter.

Sincerely,



Jocelyn I. Harper, Director  
Bureau of Equal Opportunity

360/JIH/JMD

cc: CO File 14-075  
Letter File  
Wendy M. Gaefke, Special Investigator