

**Request for Proposals (RFP) No. P17007  
General Contractor/Construction Manager (GC/CM) Pool  
Attachment D - Section 3 Business Preference Submittal Form**

- 1.0 Introduction.** This form must be fully completed, accompanied by all required attachments, for any proposer claiming a Section 3 Business Preference (Preference).
- 1.1** This fully completed form and any attachments, will become a part of any ensuing contract.
- 1.2** Each proposer shall mark an "X" where provided following for all that apply to his/her claim of a Preference.
- 1.3** The proposer shall provide as an attachment to this completed form a detailed work plan clearly explaining how each of the following "preference claims" will be accomplished. Failure on the part of the proposer to include any such required attachment fully explaining the claim of the proposer shall result in the Minneapolis Public Housing Authority (MPHA) not considering the claim for a Preference (though the MPHA may, if awarded, later require the proposer to submit the information to satisfy the Section 3 requirements of the ensuing contract).
- 2.0 Current Section 3 Status.** The undersigned proposer hereby claims that it is a Section 3 business concern and claims such preference in that he/she can provide evidence that (the proposer has attached justifying documentation for each item following marked with an "X"):

**2.1**  It is 51% or more owned by a Section 3 resident(s):

[Table No. 1]

(1) Section	(2) Mark "X" if Included	(3) Description
2.1.1		MPHA resident lease
2.1.2		Evidence of participation in a public assistance program
2.1.3		Articles of Incorporation
2.1.4		Fictitious or Assumed Business Name Certificate
2.1.5		List of owners/stockholders and % of each
2.1.6		Latest Board minutes appointing officers
2.1.7		Organization chart with names and titles and brief functional statement
2.1.8		Partnership Agreement
2.1.9		Corporation Annual Report

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**2.2** \_\_\_\_ At least 30% of its full-time employees include persons that are currently Section 3 residents, or within 3 years of the date of first employment with the business concern were Section 3 residents:

**2.2.1** To justify this claim, please see the immediate following:

[Table No. 2]

(1) Classification	(2) Total Number of Current Permanent Employees	(3) Total Number of Section 3 Resident Employees
Trainees		
Apprentices		
Journeypersons		
Laborers		
Supervisory		
Superintendent		
Professional		
Clerical		
Other:		

**2.2.2** Attach a listing of all employees listed in column (3) above, including name and total annual income. Also, attach proof of the income, such as a copy of the last tax return (please be sure to “black-out” all but the last 4 digits of the person(s) social security number), or any documentation showing proof of the receipt of public assistance.

**2.3** \_\_\_\_ He/she has a commitment to subcontract in excess of 25% of the dollar award of all subcontracts to be awarded to a Section 3 business concern.

**2.3.1** To justify this claim, please see the immediate following:

[Table No. 3]

(1) Name of Section 3 Firm Receiving the Subcontract	(2) Total Amount of Subcontract(s)	(3) Percentage the Subcontract(s) is/are of the Total Proposed Contract Amount
	\$	%
	\$	%

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**General Contractor/Construction Manager (GC/CM) Pool**  
**Attachment D - Section 3 Business Preference Submittal Form**

**2.3.2** Attach for each firm listed immediately above:

**2.3.2.1** A detailed description of the subcontracted activity; and

**2.3.2.2** A fully completed Profile of Firm form.

**2.3.2.3** Proof of the income of the ownership of the Section 3 firm receiving the subcontract, such as a copy of the last tax return for the owner(s) (please be sure to "black-out" all but the last 4 digits of the person(s) social security number) or any other documentation showing proof of receipt of public assistance.

**3.0** The undersigned proposer hereby declares:

**3.1** The information in this completed form (and any attachments) is, to the best of his/her knowledge, true and accurate.

**3.2** He/she is aware that if the MPHA discovers that any such information is not true and accurate, such shall allow the MPHA to:

**3.2.1** Not award the proposer a Preference; and

**3.2.2** If the MPHA deems such is warranted (e.g. in the case of submitting information the proposer knows to be untrue), declare such proposer to be nonresponsive and not allow the proposer to receive an award.

**3.3** He/she is aware that if he/she receives and award as the result of this competitive solicitation, even though he/she may not receive a Preference from the MPHA as a result of this submittal, he/she will still be required to, to the greatest extent feasible, implement a Section 3 Plan, including a commitment to interview and consider hiring Section 3 persons (most specifically, residents of the MPHA) whenever the successful proposer has need to hire additional employees during the term of the ensuing contract.

The undersigned contractor hereby affirms that the foregoing is true and accurate and that he/she hereby agrees to comply as denoted herein.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Company