



RFP: EX 18-R-0010

Request for Proposals and Letter of Interest for
Development Opportunities

REQUEST FOR PROPOSALS AND LETTERS OF INTEREST FOR DEVELOPMENT OPPORTUNITIES

RFP EX 18-R-0010

**PROPOSALS/LETTERS OF INTEREST
FOR DEVELOPMENT PARTNERSHIPS,
NEW RESIDENTIAL HOUSING CONSTRUCTION,
AND OTHER OPPORTUNITIES
TO DEVELOP AFFORDABLE HOUSING
WITHIN EL PASO COUNTY, TEXAS**

Gerald Cichon, Chief Executive Officer
5300 E. Paisano Drive
El Paso, Texas 79905

Issue Date May 4, 2018

Submissions in response to this request will be accepted on a “rolling submission” basis commencing at 9:00 a.m. on May 11, 2018 and closing at 5:00 p.m. on December 31, 2019



Housing Authority of the City of El Paso

RFP: EX 18-R-0010

Request for Proposals and Letter of Interest for
Development Opportunities

REQUEST FOR PROPOSAL (RFP)

EX 18-R-0010

The Housing Authority of the City of El Paso, Texas (HACEP) is requesting proposals for:

REQUEST FOR PROPOSALS AND LETTERS OF INTEREST FOR DEVELOPMENT OPPORTUNITIES

Request for Proposal packets will be available May 4, 2018, beginning at **2:00 PM** local time. **Refer to Request for Proposal No. EX 18-R-0010.** This solicitation is only available electronically. To view the solicitation, please visit www.hacep.org/procurement.sstg, and click on the “E-Procurement” link. You will have the opportunity to register and view the solicitation. There is no fee associated with the use of this system.

Submission Timeline: The RFP packet will be made available on May 4, 2018. Thereafter, HACEP will accept proposals for a “rolling period” commencing at 9:00 a.m. May 11, 2018 and concluding at 5:00 p.m. on December 31, 2019. Submit in “Sealed Envelopes” and delivered or hand carried to: Housing Authority of the City of El Paso, Texas, Attn: Ms. Eddie Rocha, Contract Specialist, 5300 E. Paisano Dr., El Paso, Texas, 79905.

A handwritten signature in dark ink, appearing to read 'Juan Pulido', is written over a horizontal line.

Juan Pulido

Procurement Manager

Advertisement

El Paso Times: **Thursday:** May 3, 2018

Sunday: May 6, 2018



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I. INTRODUCTION

The Housing Authority of the City of El Paso (“**HACEP**” or the “**Authority**”), in conjunction with its Affiliates (as defined below), is seeking development proposals or letters of interest through this Request for Proposals/Letters of Interest (hereinafter, the “**RFP**”) to increase the availability of affordable residential housing, particularly for low- and very-low income families within HACEP’s area of operation. This RFP is designed to give interested parties a fair and open opportunity to make one or more proposals and to foster a competitive environment so that HACEP can select for a contract, award, grant or partnership from among those proposers, if any, who offer the best opportunities to successfully increase affordable residential housing in the area and advance the goals and mission of HACEP.

A. Types of Projects or Development Opportunities Available: HACEP is seeking innovative and cost-effective proposals – either in the form of a formal submission in response to this RFP or by means of an informal “letter of interest” – to expand the availability of affordable housing within the following areas:

- Proposals must be for the development or construction of new residential housing within HACEP’s area of operation.
- Proposals must demonstrate the benefit to or expansion of the availability of low and very-low income residents in HACEP’s area of operation. Furthermore, proposals must demonstrate how the proposal will advance the mission of HACEP to provide safe, decent and affordable housing for low income families within its area of operation.
- Proposals may be for projects or developments that include market-rate units, provided that there is a meaningful portion of the overall units to be developed that will be dedicated to residents of low and very-low income families.
- Proposals may be for projects or developments that receive low income housing tax credit (“**LIHTC**”) awards through the Texas Department of Housing and Community Affairs (“**TDHCA**”), historical tax credits, or other agencies that award tax credits, with or without a controlling interest to be held by HACEP or the Affiliates.
- Proposals may be for loans, grants, partnerships, and/or participation in businesses or joint ventures (including private-public partnerships) to develop or construct residential properties, including LIHTC-awarded properties.
- Proposals may be for “in-kind” contributions from HACEP or the Affiliates, or participation or services by HACEP or the Affiliates in the development.
- Proposals may be for projects that are in the predevelopment or infrastructure phase.



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- Proposal may include “design build” projects.
- Proposals may be for project-based vouchers.
- Proposals may be for the creation of “public-private” partnerships for mixed use developments.
- Proposers may include individuals, corporations, partnerships, nonprofit organizations and other governmental entities; put simply, HACEP is willing to entertain proposals from any qualified individual, entity, or organization, regardless of its structure, provided the proposer is or will be an “owner” or “controlling member” of the development and meets the minimum financial and development experience requirements, as defined in the RFP.
- *Please note, however, this RFP does not seek projects for the development or construction of federal public housing units, or PHA units, meaning the construction of residential units for which HACEP receives a subsidy from the United States Department of Housing and Urban Development (“HUD”) under an Annual Contributions Contract (“ACC”). If HACEP were to seek proposals for the construction of PHA units, it would solicit such proposals by a separate solicitation such as a separate request for proposal or for quotation.*

B. Area for Proposed Projects or Developments: Any proposed project or development to be funded must be located within HACEP’s area of operation, or within an Affiliate’s scope of business operations. This means that proposed projects or development must be, if directly involving HACEP, either (i) within the City of El Paso (the “**City**”) or (ii) within El Paso County, Texas (the “**County**”) and also be located within five miles of the boundaries of the City pursuant to the territorial provisions of Chapter 392 of the Texas Local Government Code. If the proposed projects or development is with an Affiliate, it must be within the County.

C. Affiliate Definition: For purposes of the RFP, the term “**Affiliate**” refers to the instrumentalities, nonprofit organizations, public facility corporations, subsidiaries and related entities of HACEP, to include Paisano Housing Redevelopment Corporation (“**PHRC**”), Affordable Housing Enterprises, Inc. (“**AHE**”), El Paso Housing Operations and Management Enterprises PFC (“**EP HOME**”) and other entities directly related to or controlled by HACEP or the foregoing affiliated entities, or any such entities created or established by HACEP after the date of this RFP.

D. Submission Timeline: The RFP packet will be made available on May 4, 2018. Thereafter, HACEP will accept proposals for a “rolling period” commencing at 9:00 a.m. May 11, 2018 and concluding at 5:00 p.m. on December 31, 2019.

E. Letters of Interest vs. Formal Responses to the RFP: HACEP’s objective in opening this proposal to both formal proposals and “letters of interest” is to provide interested parties with an opportunity to present a unique or beneficial affordable housing development ideas or concept to HACEP in an informal manner. A letter of interest should briefly track the



components of this document as much as practicable, and as applicable, and provide as much detail as possible by the proposer. Then, HACEP can review the letter of interest and, if HACEP is interested, ask the proposer to provide additional details and information as a formal response to this RFP so that the proposal can be more thoroughly evaluated and vetted. HACEP is publishing this document both as an RFP and a request for “letters of interest” so that the public and any interested parties/developers are aware of this opportunity and can submit a proposal to HACEP. By publishing this RFP, HACEP is seeking to ensure fair and open competition in terms of the ideas and concepts submitted to it for possible consideration. However, HACEP notes that its review and consideration of a “letter of interest” is not technically a “procurement” for purposes of federal or state law or HACEP policy, and it is therefore not subject to procurement laws, regulations or policies regarding the procurement of goods or services.

F. Evaluation of Proposals: HACEP will endeavor to evaluate proposals within 90 days of submission. Proposals will then be accepted, rejected, taken under advisement, or placed on a possible waiting list for consideration at a later date. HACEP may require additional information from proposers, including design work, architectural plans, engineering plans, site development plans, financial information, lending information and/or personal presentations from proposers, during the evaluation phase.

G. Negotiations with Best-Value Responses: HACEP reserves the right to negotiate with respondents who provide the best value to HACEP and meet the minimum scoring criteria, as determined in the discretion of HACEP. HACEP may select proposals that are most advantageous and most qualified based on the evaluation criteria stated herein. HACEP reserves the right to negotiate with any and all parties that submit acceptable proposals. HACEP reserves the right to award without discussion and may make awards to multiple respondents, either prior to or subsequent to the submission deadline. HACEP reserves the right to reject proposals for any reason, including geographic location, building type or availability of funding/resources. Put simply, HACEP reserves the right to allocate its funds as necessary to achieve the goals of this RFP.

H. HACEP Discretion/Options: As a governmental entity and public housing authority, HACEP may, in its sole and absolute discretion, reject any and all, or parts of any and all, submissions; re-advertise this RFP; postpone or cancel, at any time, this RFP process; or waive any minor irregularities in this RFP or in any proposal responses received as a result of this RFP. Also, the criteria and process whereby submissions are evaluated, the decision as to who shall receive a contract award, or whether an award shall ever be made as a result of this RFP, shall be in the sole and absolute discretion of the HACEP. **HACEP further reserves the right in its sole discretion to assign to an Affiliate any of its rights and obligations in connection with this RFP or any award hereunder.**

II. BACKGROUND



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A. HACEP: HACEP is a public housing authority established under federal and state law to provide safe, decent, and affordable housing for low income families in El Paso, Texas.¹ HACEP was established in 1938 to provide low income families in El Paso with access to safe, clean and affordable housing. At the present time, HACEP owns, operates and/or manages public housing units, Housing Choice Voucher (formerly Section 8) unit vouchers, and additional units of market-rate, low income housing tax credit, and other subsidized units

HACEP is governed by a five-member Board of Commissioners who are appointed by the Mayor of the City of El Paso. One of the members must be a resident of one of HACEP's housing programs. The Board of Commissioners, in turn, hires and supervises a Chief Executive Officer ("CEO") to be responsible for the day-to-day management and administration of all staff, functions, operations and finances of HACEP. The Authority currently employs approximately 318 employees in a number of different divisions, departments, and programs.

B. City of El Paso, Texas: El Paso is the 5th largest city in Texas and the 23rd largest city in the United States. It is one of the largest growing cities in the State of Texas and has seen a considerable increase in population and housing units. Mild weather and below average cost of living has attracted several new residents and businesses to the City. On average, the sun shines more than 300 days per year, earning El Paso its "Sun City" nickname. The estimated 2017 population for the city was 908,421.

III. GOALS:

A General Goals: HACEP has established the following general goals to guide any contracts, awards, partnerships, grants, or other arrangements established in response to this RFP.

1. Create vibrant, safe, communities of high quality design that meet or exceed industry standards, and that attract and retain a broad income mix of residents and function as cohesive communities. To support the "El Paso Plan" and infill growth initiative
2. Create sustainable, lively environments featuring a mixture of uses and tenure that include family and senior housing, rental and homeownership, residential, retail and commercial uses.
3. Maximize the leverage of HACEP's existing funding streams and minimize dependence on public housing resources through the creative use of the mixed-finance process, private financing, Low Income Housing Tax Credits ("*LIHTC*") and other sources of financing and subsidies.
4. Maximize economic development opportunity for public housing residents, residents of El Paso, and women- and minority-owned businesses.

¹ HACEP is established and funded pursuant to the federal Public Housing Act of 1937, 42 U.S.C. § 1437 et seq., and, at the state law level, it is organized and operating as a municipal housing authority pursuant to Chapter 392 of the Texas Local Government Code. The Housing Authority therefore is a governmental entity established and operating under both federal and state law and regulations governing public housing authorities.



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5. Actively engage the participation of the El Paso community (including public housing and neighborhood residents, public agencies and institutions, community-based organizations, and businesses) in planning and implementation each of the development programs.
6. Produce public housing and affordable units, whenever possible, in mixed-income developments where these units are indistinguishable from unrestricted income (market-rate) units; are dispersed throughout each development; and accommodate a variety of family sizes.
7. Maintain long-term affordability of affordable units within the economic constraints of funding available for development and operation of public/affordable housing.
8. Aggressively promote the program and ensure long-term financial stability of any developments to be created or constructed pursuant to this RFP or resulting from a letter of interest (“LOI”).
9. Promptly achieve milestones and deliver development products.
10. Maintain high quality property management.
11. Comply with all applicable federal, state and local laws, regulations, policies, approval requirements, and court orders.

B. Objectives: The primary objective of this RFP is to award several contracts, agreements, grants, loans, letters of support, or other appropriate arrangements to responsive proposers who offer the best value to HACEP in order to expand affordable residential housing in the El Paso area. The objectives of the RFP include providing greater long-term financial stability to HACEP and to work with proposers whose development concepts offer the best value and maximum return on HACEP’s investment.

As part of its primary mission, HACEP is authorized and encouraged to develop, acquire, lease, own, operate and/or create affordable housing for low income families and the community at large.

HACEP is further authorized and encouraged to seek and utilize a combination of private financing and public housing development funds to develop affordable housing units. As such, the law permits public housing authority such as HACEP and its partners to structure transactions that make use of private and/or public sources of financing. Many potential scenarios for ownership and transaction structures exist, ranging from HACEP or its partner(s) holding no ownership interest, a partial ownership interest, or 100 percent of the ownership interest of the affordable housing units that are to be developed. A public housing authority such as HACEP and/or its partner(s) may choose to enter into a partnership or other contractual arrangement with a third-party entity for the



mixed-finance development and/or ownership of public housing units. If this entity has primary responsibility along with the public housing authority for the development of these units, it is commonly referred to as the public housing authority's "partner." The entity that ultimately owns the housing units, whether or not the public housing authority retains an ownership interest, is commonly referred to as the "owner entity."

IV. SUBMISSION REQUIREMENTS:

A. Qualified Proposers – Owners or Controlling Members: HACEP will accept proposals in response to this RFP from the actual or prospective owner of the property to be developed (an "*Owner*") or a sponsor, affiliate, partner, or managing member of an Owner ("*Controlling Member*"), or an Owner or a Controlling Member acting together with another party that is interested in becoming the Owner or Controlling Member (meaning in conjunction with another entity) provided the overall individual(s)/entity(ies) have, in HACEP's discretion, sufficient indicators of respondent's control over the property or development.

B. Due Date – Rolling Submission Period Through December 31, 2019: Submissions in response to this RFP may be submitted from 9:00 a.m. May 11, 2018 until 5:00 p.m. on December 31, 2019. Proposals may be submitted during regular working hours only. Late proposals will not be considered and will not be opened. Respondents are solely and entirely responsible for ensuring that submissions are received by HACEP prior to the closing date and time stated above.

C. Submission Instructions:

1. Identification of Proposals: The following information must be included on the submission envelope and cover of proposal.

For Formal Proposals:

PROPOSALS SUBMITTED IN RESPONSE TO REQUEST FOR DEVELOPMENT PARTNERSHIPS, NEW RESIDENTIAL HOUSING CONSTRUCTION, AND OTHER OPPORTUNITIES TO DEVELOP AFFORDALBE HOUSING WITHIN EL PASO COUNTY, TEXAS

For a Letter of Interest:

LETTER OF INTEREST SUBMITTED IN RESPONSE TO REQUEST FOR DEVELOPMENT PARTNERSHIPS, NEW RESIDENTIAL HOUSING CONSTRUCTION, AND OTHER OPPORTUNITIES TO DEVELOP AFFORDALBE HOUSING WITHIN EL PASO COUNTY, TEXAS

2. Delivery to: Proposals must be submitted to the following address:



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Housing Authority of the City of El Paso, Texas
5300 E. Paisano Drive
El Paso, Texas 79905
Attention: Ms. Eddie Rocha

3. **Original and 5 Copies:** Respondents must submit five (5) bound and secured copies and one original and one (1) electronic copy of response (USB drive preferred). *Faxed or electronic copies of submissions will not be accepted.* Documents should be in 8 ½" x 11", single-sided format. One copy should be clearly identified as containing documents with original signatures. The respondent will be responsible for all costs incurred in preparing a response to this RFP. All material and documents submitted by prospective developer(s) will become the property of HACEP and will not be returned. The developer(s) selected for further interviews and negotiations will be responsible for all costs incurred during these processes.

D. Questions: Questions regarding this RFP should be directed in writing or electronically to Ms. Eddie Rocha at erocha@hacep.org.

E. Pre-Submission Conferences: There will be no scheduled pre-submission conference. However, meetings to discuss this document may be available upon request.

F. HACEP Rights: As stated above, HACEP reserves the right to reject any or all proposals, to advertise for new proposals or proceed to accomplish this solicitation by any means determined to be in the best interest of HACEP. HACEP will evaluate proposals to determine which best satisfies the needs of the Authority and community. All expenses involved with the preparation and submission of the Proposal Response shall be borne by the proposer/respondent.

G. Content of Submission of Formal Submission of Proposals: Respondents must submit the following documentation in the order listed below. Failure to comply with these submission requirements may be cause for rejection of the submission.

1. Letter of Interest. The letter of interest (not to exceed four pages excluding attachment of the Letter(s) of Participation) should:

i. Introduce the respondent – and if the respondent has a development team, specifying roles and responsibilities of team members and identifying the authorized representative (with contact address, telephone number and e-mail address included) who will serve as the primary contact throughout the selection process. Include information for a contact alternate in the event that the authorized representative is absent or unavailable.

ii. Describe the respondent/respondent development team's understanding of its role and why it will be successful in implementing the effort.



iii. Attach a Letter of Participation addressed to HACEP from each separate individual/entity, as applicable, that comprises the respondent or its development team. The letter should be on official letterhead, acknowledge participation of the respondent and/or each team member and authorize use of the individual's/firm's Proposals to compete under this RFP.

2. Statement of Proposals. Include a brief description of each individual's qualifications and identify each organization (if applicable) which is part of the respondent development team, to include the following:

i. Organization of respondent/development team. A description of the respondent/development team's organization should include:

a) An organizational chart that shows all legal entities on the respondent team, illustrating the roles and responsibilities of all team members and identifying key staff in each role or responsibility. Attach a contact list with address, telephone and e-mail information for all entities indicated on the organizational chart;

b) A narrative statement of the relationship between team members revealing any ownership interest and describing previous collaboration and/or interaction between the team members. The narrative statement should identify important roles and responsibilities, if any, that will be filled by additional team members selected by the Developer in the future.

ii. Development Experience and Capabilities. Provide a description of relevant previous experience in the development or construction of residential real estate within the past five (5) years [a respondent may include additional past years if desired] for all members of the team focusing on:

a) Development and operation of rental housing, especially for mixed-income families with social service programs and use of mixed-finance development that involves public housing funds and a variety of other private and public sources of financing;

b) Implementation of complex revitalization or development programs, and the implementation of such programs in challenging urban settings; and

c) Conduct of planning exercises involving participation of a broad range of stakeholders.

d) For each real estate project described, include:



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- name of the project;
- date of completion or anticipated completion;
- location;
- size and type of project (project cost or financial value, residential description – public housing, affordable, market, senior, family, disabled, rental, homeownership, etc.);
- development time frame (including major milestones);
- client(s), if applicable; and
- sources of funds used for the project.

iii. Key personnel. Provide a roster of key personnel proposed to have direct responsibility for the proposal (and, in the case of a development team, for each member of the respondent team); describe their roles and responsibilities; provide the percentage of their time anticipated to be committed to the proposed development/partnership; provide the address of the office from which services will be provided; and include their resumes.

iv. Capacity. Provide information briefly addressing the proposer's capacity to complete the proposed development/partnership/project, with, as applicable, any existing commitments for key development team members, the size of projects (value, acreage, and number of dwelling units), percent of time and resources (staff time) devoted to the project, and anticipated date of completion. This section of the submission needs to demonstrate that there would be adequate organizational capacity and resources to undertake the role if selected.

v. References. For the primary member of the proposer/development team and any guarantors, provide three (3) corporate references, including at least one banking (credit) reference and two current or recent client references (including investors, lenders or other fund providers, and public housing authorities) for projects undertaken in the last five years. Include at least two professional references for all other legal entities on the development team.

vi. Financial statement. Include two (2) copies of financial statements for the last three years for the primary member of the team and any guarantors. The statements should reveal the balance sheet as well as income and expense information, and should indicate the existence of resources to fund predevelopment activities, completion guarantees and any equity participation.

3. Approach. Include in your proposal a brief description of your proposed approach to successfully developing the project at issue, and address, as applicable, the following:

i. Identify the greatest challenge and the greatest opportunity for the development initiative;



- ii. Discuss strategies for securing currently resources and maximizing leverage of funds;
- iii. Discuss collaboration between HACEP, city agencies, public housing residents, community based groups and other stakeholders;
- iv. Provide a range of anticipated development fees and cost sharing proposed for development of site; and
- v. Address how the proposal will serve the return on HACEP investment.

4. Economic Opportunity Development. Please address, as applicable, the following economic opportunity development considerations:

i. Small, Minority-Owned and Women-Owned Business Participation. Briefly describe the proposed participation of any small, minority-owned (MBE) or women owned businesses (WDB) in this engagement, if any. The description should indicate whether the firm(s) is small, minority-owned or women owned and whether it is the prime partner, a joint venture partner, an associated firm or a consultant. It should include an estimation of the percentage of the contract that may be attributable to that firm. For your information, HACEP has established minimum goals for participation equal of MBEs and WBEs in its overall business. HACEP therefore encourages responders to associate with or develop relationships with M/WBE firms.

ii. Section 3 Plan. Section 3 is a component of federal housing law which, among other things, requires and encourages public housing authorities to make job opportunities available to residents of public housing or other programs, or low- or very-low-income individuals within the Authority's area of operation. In connection with the Section 3 Program, HACEP has established a Section 3 participation goal of 30% of new hires resulting from activities due to this RFP. If possible, please describe the specific actions that will be taken to ensure that the Section 3 goal is attained. Address actions to identify qualified Section 3 eligible individuals and businesses. Describe plans for engaging trades, unions and apprenticeship programs in elevating the skills of Section 3 workers, and identify actions to aid Section 3 workers gain long-term employment.

5. Certifications and Assurances. Respondents must complete all certifications, affidavits, assurances and forms included as Attachments and/or required in the text of this solicitation.



V. SELECTION PROCESS

A. Initial Review: Each submission will be internally reviewed to establish responsiveness. The reviewer will ensure that all required materials are included and no obvious deficiencies are evident. HACEP reserves the right, in its sole discretion, to waive any informalities or minor irregularities and/or permit the cure of such deficiencies if it serves its best interests to do so. Any submission that fails to include all materials requested under this solicitation may be deemed non-responsive, and if determined to be non-responsive by HACEP will not be rated or ranked.

B. Selection Panel Review: Each responsive submission will be rated and ranked by a Selection Panel. The Selection Panel membership will be composed by HACEP, in its discretion, and it may include employees and/or third-parties. The Selection Panel may communicate with/negotiate with those proposers who submit proposals deemed to be in the best interest of HACEP. At any time during the selection process, HACEP may require further information or documentation from respondents to aid in the deliberation of the Selection Panel. The Selection Panel will use its initial scoring and the oral interviews (if any) as well as any further information to determine the final scores and assign final rankings. HACEP reserves the right to request a Best and Final Offers if deemed necessary. The Selection Panel will make a recommendation to the HACEP CEO based on respondent's submissions, interviews, and any additional information gathered during the selection process.

HACEP reserves the right to accept or reject individual members of any respondent team. No contractual rights shall arise from the process of negotiation until such time as the HACEP and the selected Developer have signed a Development Agreement. If, for any reason, a Development Agreement cannot be negotiated with the highest ranked respondent, HACEP reserves the right, but shall be under no legal obligation, to select the next highest respondent for negotiation of a contract. HACEP may continue to negotiate with respondents in the order of rankings until a contract is finalized. Work under the Development Agreement shall commence immediately upon its execution. The parties further concur that HUD must approve the Development Agreement and agree to work diligently to implement changes as may be required by HUD.

C. Communication During the Procurement Process: During the solicitation period and subsequent evaluation process, respondents may not make any contact regarding this RFP with Board Members or HACEP staff other than the HACEP staff member designated as the point of contact. The activities of the Selection Panel are confidential and any contact with members of the above groups will create the impression of unfair access or conflict of interest. Contact prior to selection may lead to a nullification of the results of the RFP, or a dismissal of the offending respondent's submission, or it may result in the contacted party being required to recuse himself or herself from consideration of the respondent's submission.

All communication regarding this solicitation will be handled by Juan Pulido, Procurement Services Manager. Any form of contact by an offeror or potential offeror regarding this RFP, at any time during the solicitation process from initial advertisement through award,



with HACEP Commissioners or any person employed by HACEP, other than through the communication channels stipulated in the Request for Proposal, or as subsequently instructed by HACEP through the solicitation process, may constitute grounds for rejection of their Proposal.

VI. EVALUATION CRITERIA

A. Overall Evaluation Criteria – Best Value: HACEP reserves the right to negotiate with respondents who provide the best value to HACEP and meet the minimum scoring criteria, as determined in the discretion of MHA. HACEP may select proposals that are most advantageous and most qualified based on the evaluation criteria stated herein.

B. Scoring Matrix: The Selection Panel will evaluate and score each responsive submission using a 100-point system, as a means to determine best value. The point system below, however, is not determinative as to best value. The point system exists to give HACEP a framework to review proposals and consider them for a possible award. The award of points, which is based upon written submissions, additional information requested/provided, and oral interviews, will be as follows:

| Points | Evaluation Criteria | Description |
|--------|--|---|
| 30 | Proposed Development Concept | <ul style="list-style-type: none"> o Overall review of development concept proposed by proposer, including how many affordable housing units will be developed and the quality of those units. |
| 20 | Business Terms | <ul style="list-style-type: none"> o Overall review of terms of business proposal being proposed. |
| 20 | Experience of Proposer/Team | <ul style="list-style-type: none"> o Experience of key individuals/staff of proposer or development team. o Proposer’s/development’s team’s successful experience in the planning and construction of mixed-income and mixed-finance development projects of comparable size and complexity. o Proposer’s/development team’s ability to obtain, structure and implement financing using a variety of private and public sources of financing to develop residential housing. o Demonstrated ability to complete projects of similar complexity with reasonable promptness o Degree of team’s familiarity with state and local rules, practices, conditions and/or personnel which are important to the success of the development. |
| 10 | Financial Capacity of Proposer/Team | <ul style="list-style-type: none"> o The financial capacity of the proposer as evidenced by appropriate financial information provided, including financial statements, bank and client references, and any guaranties. o The degree to which the proposer demonstrates restraint in its fees and creativity in its leverage of other resources, both capital and in-kind, to minimize the net use of public funds. o The degree to which the proposer includes a projected return on HACEP’s investment including the degree to which HACEP will share in development fees, management fees and residual cash flow. o Ability of the proposer to finance predevelopment activities. |
| 5 | Organizational Capacity of Proposer/Team | <ul style="list-style-type: none"> o Capacity of proposer/development team to accomplish the proposed project. o Cohesion of the proposer’s staff/development team as demonstrated by past experience working together. o Capacity of team members to complete tasks and projects on time. o Creativity and flexibility of key personnel/team members. |
| 5 | Approach | <ul style="list-style-type: none"> o The degree to which the proposer understands HACEP’s desire to develop affordable housing and can adequately demonstrate a feasible financing and implementation plan. o The degree to which the proposer demonstrates an appreciation of the potential problems associated with the project and a coherent and creative approach to solving them. o The feasibility, quality, specificity and contextual appropriateness of development opportunities for the proposal as well as the likelihood of the proposed approach to be funded and implemented in a timely manner. o The degree to which the development team encourages community participation. |



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| 5 | Return on Investment | <ul style="list-style-type: none"> ◦ The value of the proposal in terms of return on investment to HACEP and the Affiliates. |
| 5 | Economic Opportunity Development | <ul style="list-style-type: none"> ◦ The degree to which the proposer demonstrates experience in meeting and exceeding compliance with Section 3 requirements and resident participation, as applicable to the proposal. ◦ The proposer's willingness to agree to require that any contractor or subcontractor participate in any available appropriate apprenticeship program; and ◦ The proposer's discussion of the affirmative steps it will utilize to seek and enable strong participation by minority-, women- and disadvantaged- businesses. |



VII. AWARD REQUIREMENTS

A. Compliance with Laws and Regulations: To be eligible for an award, contract, letter or other appropriate participation by HACEP pursuant to this RFP, any selected proposers may be required (depending on the nature of the development concept approved) to certify compliance with all federal laws and regulations, state laws and regulations, and local laws applicable to the performance of respondent to this solicitation, including but not limited to the following:

1. Uniform Administration Requirements contained in 24 CFR 85.1 et seq., as amended;
2. Executive Order 11246 of September 24, 1965 entitled "Equal Employment Opportunity" as amended by Executive Order 11375 of October 13, 1967 and as supplemented in Department of Labor regulations (41 CFR 60) (all construction contracts in excess of \$10,000)
3. Sections 103 and 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-330) as supplemented by department of Labor regulations (29 CFR Part 5). (Construction contracts in excess of \$2,000, and in excess of \$2,500 for other contracts that involve the employment of mechanics and laborers)
4. All applicable standards, orders and requirements issued under Section 306 of the Clear Air Act (42 U.S.C. 1857(h)), Section 508 of the Clean Water Act (33 U.S.C. 1368), Executive Order 11738, and Environmental Protection Agency Regulations (40 CFR Part 15). (Contracts, subcontracts, and sub-grants in excess of \$100.00)
5. Copeland "Anti-Kickback" Act (18 U.S.C. 8754) as supplemented in Department of Labor regulations (29 CFR Part 3). (All contracts and sub-grants for construction or repairs)
6. The Americans with Disability Act of 1990 (H.R. 2273, 8.933); Sections 501 and 504 of the Rehabilitation Act of 1973, as amended; the Architectural Barriers' implementing regulations (24 CFR 40). (All construction contracts)
7. All applicable standards, orders and/or requirements established by and/or pursuant to:
 - i. The Solid Waste Disposal Act as amended by the Resources Conservation and Recovery Act of 1976 (42 U.S.C. 6901, et seq.), and any amendments thereto;
 - ii. The Toxic Substance Control Act (15 U.S.C. 2601, et seq.), and any amendments thereto;
 - iii. Occupational Safety and Health Administration regulations, and any amendments thereto;
 - iv. The Comprehensive Environmental Response Compensation and Liability Act (42 U.S.C. 9601, et seq.), and any amendments thereto;
 - v. The National Environmental Policy Act (42 U.S.C. 4321 et seq.) and implementing regulations (24 CFR Parts 50 and 58), and any amendments thereto;
 - vi. Executive Order 11738 and the U.S. Environmental Protection Agency's implementing regulations (40 CFR Part 15), and any amendments thereto.

B. Liability and Insurance Requirements: The selected respondent may, if applicable to the proposal submitted, be required to maintain General Liability, Worker's Compensation, Automobile Liability, Professional Liability, and other form of insurance, with firms authorized to do business in the State of Texas, during the duration of performance of activities pursuant to this RFP. The selected respondent may also, depending on the nature of the proposal submitted, be required to indemnify HACEP against claims and obligations due to actions and activities of the proposer and not arising from negligence or misconduct of HACEP and its employees. Terms of required insurance and indemnification will be included in any final agreement between HACEP and the proposer.



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C. **Conflict of Interest.** By responding to this RFP with a submission, the respondent warrants that, to the best of its knowledge and belief, and except as otherwise disclosed, it does not have any organizational conflict of interest. Conflict of interest is understood as a situation in which the nature of the work under this solicitation and the organizational, contractual and financial interest of the respondent are such that: (1) the respondent may have an unfair advantage; or (2) the respondent's objectivity in performing work pursuant to this RFP may be compromised. Respondents should note that a conflict of interest arises if an employee, officer or agent of HACEP, a member of his/her immediate family, his/her partner, or an organization that employs or is about to employ any of the above (the employee/officer/agent, his or her immediate family or partner) has financial or other interest in the respondent.

All proposals submitted in response to this solicitation are subject to Open Record Request (ORR) under The Texas Public Information Act (FOIA), [5 U.S.C. § 552](#). For information regarding The Texas Public Information Act, please visit the following website https://www.texasattorneygeneral.gov/files/og/publicinfo_hb.pdf.



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Federal Acquisition Regulation (FAR) FAR 2005-83/07-02-2015

Part II – Contract Clauses Section D

Clauses Incorporated by Reference As applicable:

| FAR#: | CLAUSE TITLE | DATE |
|-----------|---|----------------|
| 52.202-1 | Definitions | November 2013 |
| 52.203-3 | Gratuities | April 1984 |
| 52.203-5 | Covenant Against Contingent Fees | May 2014 |
| 52.203-7 | Anti-Kickback Procedures | May 2014 |
| 52.209-6 | Protecting the Governments Interest when Sub-contracting with Contractors Debarred, Suspended or Proposed for Debarment | October 2015 |
| 52.215-2 | Audit and Records – Negotiation | October 2010 |
| 52.215-8 | Order of Precedence – Uniform Contract Format | October 1997 |
| 52.215-14 | Integrity of Unit Prices | October 2010 |
| 52.217-6 | Option for Increased Quantity | March 1989 |
| 52.217-9 | Option to Extend the Term of the Contract | March 2000 |
| 52.222-4 | Contract Work Hours and Safety Standards Act – Overtime Compensation | May 2014 |
| 52.222-6 | Construction Wage Rate Requirements | May 2014 |
| 52.222-18 | Certification Requiring Knowledge of Child Labor for Listed End Products | February 2001 |
| 52.222-20 | Contracts for Materials, Supplies, Articles and Equipment Exceeding \$15,000 | May 2014 |
| 52.222-26 | Equal Opportunity | September 2016 |
| 52.222-35 | Equal Opportunity for Veterans | July 2014 |
| 52.222-36 | Equal Opportunity for Workers With Disabilities | July 2014 |



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| 52.223-2 | Affirmative Procurement of Biobased Products Under Services and Construction Contracts | September 2013 |
| 52.223-6 | Drug Free Work Place | May 2001 |
| 52.227-1 | Authorization and Consent | December 2007 |
| 52.228-5 | Insurance – Work on a Government Installation | January 1997 |
| 52.229-3 | Federal, State and Local Taxes | February 2013 |
| 52.232-7 | Payments Under Time-and-Materials and Labor-Hour Contracts | August 2012 |
| 52.232-18 | Availability of Funds | April 1984 |
| 52.232-23 | Assignment of Claims | May 2014 |
| 52.237-2 | Protection of Government Buildings, Equipment and Vegetation | April 1984 |
| 52.237-3 | Continuity of Services | January 1991 |
| 52.242-13 | Bankruptcy | July 1995 |
| 52.243-3 | Changes – Time-and-Materials or Labor-Hours | September 2000 |
| 52.243-7 | Notification of Changes | January 2017 |
| 52.246-17 | Warranty of Supplies of a Non-complex Nature | June 2003 |
| 52.248-1 | Value Engineering | October 2010 |
| 52.249-2 | Termination for Convenience of the Government (Fixed Price) | April 2012 |
| 52.249-8 | Default (Fixed Price Supply & Service) | April 1984 |
| 52.249-14 | Excusable Delays | April 1984 |
| 52.252-2 | <p>Clauses Incorporated by Reference:</p> <p>This contract incorporates one or more clauses by reference, with the same forced effect as if they were given in full text.</p> | February 1998 |



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General Conditions for Non-Construction Contracts

Section I – (With or without Maintenance Work)

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Office of Labor Relations

OMB Approval No. 2577-0157 (exp. 3/31/2020)

Public Reporting Burden for this collection of information is estimated to average 0.08 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Office of Information Policies and Systems, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600; and to the Office of Management and Budget, Paperwork Reduction Project (2577-0157), Washington, D.C. 20503. Do not send this completed form to either of these addressees.

Applicability. This form HUD-5370-C has 2 Sections. These Sections must be inserted into non-construction contracts as described below:

- 1) **Non-construction contracts** (without maintenance) **greater than \$105,000 - use Section I;**
- 2) **Maintenance contracts** (including nonroutine maintenance as defined at 24 CFR 968.105) **greater than \$2,000 but not more than \$150,000 - use Section II;** and
- 3) **Maintenance contracts** (including nonroutine maintenance), **greater than \$150,000 – use Sections I and II.**

- (d) Failure to agree to any adjustment shall be a dispute under clause Disputes, herein. However, nothing in this clause shall excuse the Contractor from proceeding with the contract as changed.
- (e) No services for which an additional cost or fee will be charged by the Contractor shall be furnished without the prior written consent of the HA.

3. Termination for Convenience and Default

- (a) The HA may terminate this contract in whole, or from time to time in part, for the HA's convenience or the failure of the Contractor to fulfill the contract obligations (default). The HA shall terminate by delivering to the Contractor a written Notice of Termination specifying the nature, extent, and effective date of the termination. Upon receipt of the notice, the Contractor shall: (i) immediately discontinue all services affected (unless the notice directs otherwise); and (ii) deliver to the HA all information, reports, papers, and other materials accumulated or generated in performing this contract, whether completed or in process.
- (b) If the termination is for the convenience of the HA, the HA shall be liable only for payment for services rendered before the effective date of the termination.
- (c) If the termination is due to the failure of the Contractor to fulfill its obligations under the contract (default), the HA may (i) require the Contractor to deliver to it, in the manner and to the extent directed by the HA, any work as described in subparagraph (a)(ii) above, and compensation be determined in accordance with the Changes clause, paragraph 2, above; (ii) take over the work and prosecute the same to completion by contract or otherwise, and the Contractor shall be liable for any additional cost incurred by the HA; (iii) withhold any payments to the Contractor, for the purpose of off-set or partial payment, as the case may be, of amounts owed to the HA by the Contractor.
- (d) If, after termination for failure to fulfill contract obligations (default), it is determined that the Contractor had not failed, the termination shall be deemed to have been effected for the convenience of the HA, and the Contractor shall be entitled to payment as described in paragraph (b) above.
- (e) Any disputes with regard to this clause are expressly made subject to the terms of clause titled Disputes herein.

Section I - Clauses for All Non-Construction Contracts greater than \$150,000

1. Definitions

The following definitions are applicable to this contract:

- (a) "Authority or Housing Authority (HA)" means the Housing Authority.
- (b) "Contract" means the contract entered into between the Authority and the Contractor. It includes the contract form, the Certifications and Representations, these contract clauses, and the scope of work. It includes all formal changes to any of those documents by addendum, Change Order, or other modification.
- (c) "Contractor" means the person or other entity entering into the contract with the Authority to perform all of the work required under the contract.
- (d) "Day" means calendar days, unless otherwise stated.
- (e) "HUD" means the Secretary of Housing and Urban development, his delegates, successors, and assigns, and the officers and employees of the United States Department of Housing and Urban Development acting for and on behalf of the Secretary.

2. Changes

- (a) The HA may at any time, by written order, and without notice to the sureties, if any, make changes within the general scope of this contract in the services to be performed or supplies to be delivered.
- (b) If any such change causes an increase or decrease in the hourly rate, the not-to-exceed amount of the contract, or the time required for performance of any part of the work under this contract, whether or not changed by the order, or otherwise affects the conditions of this contract, the HA shall make an equitable adjustment in the not-to-exceed amount, the hourly rate, the delivery schedule, or other affected terms, and shall modify the contract accordingly.
- (c) The Contractor must assert its right to an equitable adjustment under this clause within 30 days from the date of receipt of the written order. However, if the HA decides that the facts justify it, the HA may receive and act upon a

4. Examination and Retention of Contractor's Records

- (a) The HA, HUD, or Comptroller General of the United States, or any of their duly authorized representatives shall, until 3 years after final payment under this contract, have access to and the right to examine any of the Contractor's directly pertinent books, documents, papers, or other records involving transactions related to this contract for the purpose of making audit, examination, excerpts, and transcriptions.



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- (b) The Contractor agrees to include in first-tier subcontracts under this contract a clause substantially the same as paragraph (a) above. "Subcontract," as used in this clause, excludes purchase orders not exceeding \$10,000.
- (c) The periods of access and examination in paragraphs (a) and (b) above for records relating to:
- (i) appeals under the clause titled Disputes;
 - (ii) litigation or settlement of claims arising from the performance of this contract; or,
 - (iii) costs and expenses of this contract to which the HA, HUD, or Comptroller General or any of their duly authorized representatives has taken exception shall continue until disposition of such appeals, litigation, claims, or exceptions.

5. Rights in Data (Ownership and Proprietary Interest)

The HA shall have exclusive ownership of, all proprietary interest in, and the right to full and exclusive possession of all information, materials and documents discovered or produced by Contractor pursuant to the terms of this Contract, including but not limited to reports, memoranda or letters concerning the research and reporting tasks of this Contract.

6. Energy Efficiency

The contractor shall comply with all mandatory standards and policies relating to energy efficiency which are contained in the energy conservation plan issued in compliance with the Energy Policy and Conservation Act (Pub.L. 94-163) for the State in which the work under this contract is performed.

7. Disputes

- (a) All disputes arising under or relating to this contract, *except for disputes arising under clauses contained in Section III, Labor Standards Provisions*, including any claims for damages for the alleged breach there of which are not disposed of by agreement, shall be resolved under this clause.
- (b) All claims by the Contractor shall be made in writing and submitted to the HA. A claim by the HA against the Contractor shall be subject to a written decision by the HA.
- (c) The HA shall, with reasonable promptness, but in no event in no more than 60 days, render a decision concerning any claim hereunder. Unless the Contractor, within 30 days after receipt of the HA's decision, shall notify the HA in writing that it takes exception to such decision, the decision shall be final and conclusive.
- (d) Provided the Contractor has (i) given the notice within the time stated in paragraph (c) above, and (ii) excepted its claim relating to such decision from the final release, and (iii) brought suit against the HA not later than one year after receipt of final payment, or if final payment has not been made, not later than one year after the Contractor has had a reasonable time to respond to a written request by the HA that it submit a final voucher and release, whichever is earlier, then the HA's decision shall not be final or conclusive, but the dispute shall be determined on the merits by a court of competent jurisdiction.
- (e) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under the contract, and comply with any decision of the HA.

8. Contract Termination; Debarment

A breach of these Contract clauses may be grounds for termination of the Contract and for debarment or denial of participation in HUD programs as a Contractor and a subcontractor as provided in 24 CFR Part 24.

9. Assignment of Contract

The Contractor shall not assign or transfer any interest in this contract; except that claims for monies due or to become due from the HA under the contract may be assigned to a bank, trust company, or other financial institution. If the Contractor is a partnership, this contract shall inure to the benefit of the surviving or remaining member(s) of such partnership approved by the HA.

10. Certificate and Release

Prior to final payment under this contract, or prior to settlement upon termination of this contract, and as a condition precedent thereto, the Contractor shall execute and deliver to the HA a certificate and release, in a form acceptable to the HA, of all claims against the HA by the Contractor under and by virtue of this contract, other than such claims, if any, as may be specifically excepted by the Contractor in stated amounts set forth therein.

11. Organizational Conflicts of Interest

- (a) The Contractor warrants that to the best of its knowledge and belief and except as otherwise disclosed, it does not have any organizational conflict of interest which is defined as a situation in which the nature of work under this contract and a contractor's organizational, financial, contractual or other interests are such that:
- (i) Award of the contract may result in an unfair competitive advantage; or
 - (ii) The Contractor's objectivity in performing the contract work may be impaired.
- (b) The Contractor agrees that if after award it discovers an organizational conflict of interest with respect to this contract or any task/delivery order under the contract, he or she shall make an immediate and full disclosure in writing to the Contracting Officer which shall include a description of the action which the Contractor has taken or intends to take to eliminate or neutralize the conflict. The HA may, however, terminate the contract or task/delivery order for the convenience of the HA if it would be in the best interest of the HA.
- (c) In the event the Contractor was aware of an organizational conflict of interest before the award of this contract and intentionally did not disclose the conflict to the Contracting Officer, the HA may terminate the contract for default.
- (d) The terms of this clause shall be included in all subcontracts and consulting agreements wherein the work to be performed is similar to the service provided by the prime Contractor. The Contractor shall include in such subcontracts and consulting agreements any necessary provisions to eliminate or neutralize conflicts of interest.

12. Inspection and Acceptance

- (a) The HA has the right to review, require correction, if necessary, and accept the work products produced by the Contractor. Such review(s) shall be carried out within 30 days so as to not impede the work of the Contractor. Any



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product of work shall be deemed accepted as submitted if the HA does not issue written comments and/or required corrections within 30 days from the date of receipt of such product from the Contractor.

- (b) The Contractor shall make any required corrections promptly at no additional charge and return a revised copy of the product to the HA within 7 days of notification or a later date if extended by the HA.
- (c) Failure by the Contractor to proceed with reasonable promptness to make necessary corrections shall be a default. If the Contractor's submission of corrected work remains unacceptable, the HA may terminate this contract (or the task order involved) or reduce the contract price or cost to reflect the reduced value of services received.

13. Interest of Members of Congress

No member of or delegate to the Congress of the United States of America or Resident Commissioner shall be admitted to any share or part of this contract or to any benefit to arise there from, but this provision shall not be construed to extend to this contract if made with a corporation for its general benefit.

14. Interest of Members, Officers, or Employees and Former Members, Officers, or Employees

No member, officer, or employee of the HA, no member of the governing body of the locality in which the project is situated, no member of the governing body in which the HA was activated, and no other public official of such locality or localities who exercises any functions or responsibilities with respect to the project, shall, during his or her tenure, or for one year thereafter, have any interest, direct or indirect, in this contract or the proceeds thereof.

15. Limitation on Payments to Influence Certain Federal Transactions

(a) Definitions. As used in this clause:

"Agency", as defined in 5 U.S.C. 552(f), includes Federal executive departments and agencies as well as independent regulatory commissions and Government corporations, as defined in 31 U.S.C. 9101(1).

"Covered Federal Action" means any of the following Federal actions:

- (i) The awarding of any Federal contract;
- (ii) The making of any Federal grant;
- (iii) The making of any Federal loan;
- (iv) The entering into of any cooperative agreement; and
- (v) The extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

Covered Federal action does not include receiving from an agency a commitment providing for the United States to insure or guarantee a loan.

"Indian tribe" and "tribal organization" have the meaning provided in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B). Alaskan Natives are included under the definitions of Indian tribes in that Act.

"Influencing or attempting to influence" means making, with the intent to influence, any communication to or appearance before an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any covered Federal action.

"Local government" means a unit of government in a State and, if chartered, established, or otherwise recognized by a State for the performance of a governmental duty, including a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, and any other instrumentality of a local government.

"Officer or employee of an agency" includes the following individuals who are employed by an agency:

- (i) An individual who is appointed to a position in the Government under title 5, U.S.C., including a position under a temporary appointment;
- (ii) A member of the uniformed services as defined in section 202, title 18, U.S.C.;
- (iii) A special Government employee as defined in section 202, title 18, U.S.C.; and
- (iv) An individual who is a member of a Federal advisory committee, as defined by the Federal Advisory Committee Act, title 5, appendix 2.

"Person" means an individual, corporation, company, association, authority, firm, partnership, society, State, and local government, regardless of whether such entity is operated for profit or not for profit. This term excludes an Indian tribe, tribal organization, or other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Recipient" includes all contractors, subcontractors at any tier, and subgrantees at any tier of the recipient of funds received in connection with a Federal contract, grant, loan, or cooperative agreement. The term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Regularly employed means, with respect to an officer or employee of a person requesting or receiving a Federal contract, grant, loan, or cooperative agreement, an officer or employee who is employed by such person for at least 130 working days within one year immediately preceding the date of the submission that initiates agency consideration of such person for receipt of such contract, grant, loan, or cooperative agreement. An officer or employee who is employed by such person for less than 130 working days within one year immediately preceding the date of submission that initiates agency consideration of such person shall be considered to be regularly employed as soon as he or she is employed by such person for 130 working days.

"State" means a State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, a territory or possession of the United States, an agency or instrumentality of a State, and a multi-State, regional, or interstate entity having governmental duties and powers.

(b) Prohibition.

- (i) Section 1352 of title 31, U.S.C. provides in part that no appropriated funds may be expended by the recipient of a Federal contract, grant, loan, or cooperative agreement to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(ii) The prohibition does not apply as follows:



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- (1) Agency and legislative liaison by Own Employees.
- (a) The prohibition on the use of appropriated funds, in paragraph (i) of this section, does not apply in the case of a payment of reasonable compensation made to an officer or employee of a person requesting or receiving a Federal contract, grant, loan, or cooperative agreement, if the payment is for agency and legislative activities not directly related to a covered Federal action.
- (b) For purposes of paragraph (b)(i)(1)(a) of this clause, providing any information specifically requested by an agency or Congress is permitted at any time.
- (c) The following agency and legislative liaison activities are permitted at any time only where they are not related to a specific solicitation for any covered Federal action:
- (1) Discussing with an agency (including individual demonstrations) the qualities and characteristics of the person's products or services, conditions or terms of sale, and service capabilities; and,
- (2) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.
- (d) The following agency and legislative liaison activities are permitted where they are prior to formal solicitation of any covered Federal action:
- (1) Providing any information not specifically requested but necessary for an agency to make an informed decision about initiation of a covered Federal action;
- (2) Technical discussions regarding the preparation of an unsolicited proposal prior to its official submission; and
- (3) Capability presentations by persons seeking awards from an agency pursuant to the provisions of the Small Business Act, as amended by Public Law 95-507 and other subsequent amendments.
- (e) Only those activities expressly authorized by subdivision (b)(ii)(1)(a) of this clause are permitted under this clause.
- (2) Professional and technical services.
- (a) The prohibition on the use of appropriated funds, in subparagraph (b)(i) of this clause, does not apply in the case of-
- (i) A payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action, if payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action.
- (ii) Any reasonable payment to a person, other than an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action if the payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action. Persons other than officers or employees of a person requesting or receiving a covered Federal action include consultants and trade associations.
- (b) For purposes of subdivision (b)(ii)(2)(a) of clause, "professional and technical services" shall be limited to advice and analysis directly applying any professional or technical discipline.
- (c) Requirements imposed by or pursuant to law as a condition for receiving a covered Federal award include those required by law or regulation, or reasonably expected to be required by law or regulation, and any other requirements in the actual award documents.
- (d) Only those services expressly authorized by subdivisions (b)(ii)(2)(a)(i) and (ii) of this section are permitted under this clause.
- (iii) Selling activities by independent sales representatives.
- (c) The prohibition on the use of appropriated funds, in subparagraph (b)(i) of this clause, does not apply to the following selling activities before an agency by independent sales representatives, provided such activities are prior to formal solicitation by an agency and are specifically limited to the merits of the matter:
- (i) Discussing with an agency (including individual demonstration) the qualities and characteristics of the person's products or services, conditions or terms of sale, and service capabilities; and
- (ii) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.
- (d) Agreement. In accepting any contract, grant, cooperative agreement, or loan resulting from this solicitation, the person submitting the offer agrees not to make any payment prohibited by this clause.
- (e) Penalties. Any person who makes an expenditure prohibited under paragraph (b) of this clause shall be subject to civil penalties as provided for by 31 U.S.C. 1352. An imposition of a civil penalty does not prevent the Government from seeking any other remedy that may be applicable.
- (f) Cost Allowability. Nothing in this clause is to be interpreted to make allowable or reasonable any costs which would be unallowable or unreasonable in accordance with Part 31 of the Federal Acquisition Regulation (FAR), or OMB Circulars dealing with cost allowability for recipients of assistance agreements. Conversely, costs made specifically unallowable by the requirements in this clause will not be made allowable under any of the provisions of FAR Part 31 or the relevant OMB Circulars.



16. Equal Employment Opportunity

During the performance of this contract, the Contractor agrees as follows:

- (a) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin.
- (b) The Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to (1) employment; (2) upgrading; (3) demotion; (4) transfer; (5) recruitment or recruitment advertising; (6) layoff or termination; (7) rates of pay or other forms of compensation; and (8) selection for training, including apprenticeship.
- (c) The Contractor shall post in conspicuous places available to employees and applicants for employment the notices to be provided by the Contracting Officer that explain this clause.
- (d) The Contractor shall, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
- (e) The Contractor shall send, to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, the notice to be provided by the Contracting Officer advising the labor union or workers' representative of the Contractor's commitments under this clause, and post copies of the notice in conspicuous places available to employees and applicants for employment.
- (f) The Contractor shall comply with Executive Order 11246, as amended, and the rules, regulations, and orders of the Secretary of Labor.
- (g) The Contractor shall furnish all information and reports required by Executive Order 11246, as amended and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto. The Contractor shall permit access to its books, records, and accounts by the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- (h) In the event of a determination that the Contractor is not in compliance with this clause or any rule, regulation, or order of the Secretary of Labor, this contract may be canceled, terminated, or suspended in whole or in part, and the Contractor may be declared ineligible for further Government contracts, or federally assisted construction contracts under the procedures authorized in Executive Order 11246, as amended. In addition, sanctions may be imposed and remedies invoked against the Contractor as provided in Executive Order 11246, as amended, the rules, regulations, and orders of the Secretary of Labor, or as otherwise provided by law.
- (i) The Contractor shall include the terms and conditions of this clause in every subcontract or purchase order unless exempted by the rules, regulations, or orders of the Secretary of Labor issued under Executive Order 11246, as amended, so that these terms and conditions will be binding upon each subcontractor or vendor. The Contractor shall take such action with respect to any subcontractor or purchase order as the Secretary of Housing and Urban Development or the Secretary of Labor may direct as a means of enforcing such provisions, including sanctions for noncompliance; provided that if the

Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction, the Contractor may request the United States to enter into the litigation to protect the interests of the United States.

17. Dissemination or Disclosure of Information

No information or material shall be disseminated or disclosed to the general public, the news media, or any person or organization without prior express written approval by the HA.

18. Contractor's Status

It is understood that the Contractor is an independent contractor and is not to be considered an employee of the HA, or assume any right, privilege or duties of an employee, and shall save harmless the HA and its employees from claims suits, actions and costs of every description resulting from the Contractor's activities on behalf of the HA in connection with this Agreement.

19. Other Contractors

HA may undertake or award other contracts for additional work at or near the site(s) of the work under this contract. The contractor shall fully cooperate with the other contractors and with HA and HUD employees and shall carefully adapt scheduling and performing the work under this contract to accommodate the additional work, heeding any direction that may be provided by the Contracting Officer. The contractor shall not commit or permit any act that will interfere with the performance of work by any other contractor or HA employee.

20. Liens

The Contractor is prohibited from placing a lien on HA's property. This prohibition shall apply to all subcontractors.

21. Training and Employment Opportunities for Residents in the Project Area (Section 3, HUD Act of 1968; 24 CFR 135)

- (a) The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- (b) The parties to this contract agree to comply with HUD's regulations in 24 CFR Part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 135 regulations.
- (c) The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of



apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

- (d) The contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 135.
- (e) The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR Part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR Part 135.
- (f) Noncompliance with HUD's regulations in 24 CFR Part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

22. Procurement of Recovered Materials

- (a) In accordance with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act, the Contractor shall procure items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR Part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition. The Contractor shall procure items designated in the EPA guidelines that contain the highest percentage of recovered materials practicable unless the Contractor determines that such items: (1) are not reasonably available in a reasonable period of time; (2) fail to meet reasonable performance standards, which shall be determined on the basis of the guidelines of the National Institute of Standards and Technology, if applicable to the item; or (3) are only available at an unreasonable price.
- (b) Paragraph (a) of this clause shall apply to items purchased under this contract where: (1) the Contractor purchases in excess of \$10,000 of the item under this contract; or (2) during the preceding Federal fiscal year, the Contractor: (i) purchased any amount of the items for use under a contract that was funded with Federal appropriations and was with a Federal agency or a State agency or agency of a political subdivision of a State; and (ii) purchased a total of in excess of \$10,000 of the item both under and outside that contract.



Housing Authority of the City of El Paso

RFP: EX 18-R-0010

Request for Proposals and Letter of Interest for Development Opportunities

Certifications and Representations of Offerors Non-Construction Contract

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB Approval No: 2577-0180 (rev. 7/30/96)

This reporting burden for this collection of information is estimated to average 5 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

This form includes clauses required by OMB's common rule on bidding/offering procedures, implemented by HUD in 24 CFR 85.36, and those requirements set forth in Executive Order 11625 for small, minority, women-owned businesses, and certifications for independent price determination, and conflict of interest. The form is required for nonconstruction contracts awarded by Housing Agencies (HAs). The form is used by bidders/offerors to certify to the HA's Contracting Officer for contract compliance. If the form were not used, HAs would be unable to enforce their contracts. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.

I. Contingent Fee Representation and Agreement

(a) The bidder/offeror represents and certifies as part of its bid/offer that, except for full-time bona fide employees working solely for the bidder/offeror, the bidder/offeror:

- (1) has, has not employed or retained any person or company to solicit or obtain this contract; and
- (2) has, has not paid or agreed to pay to any person or company employed or retained to solicit or obtain this contract any commission, percentage, brokerage, or other fee contingent upon or resulting from the award of this contract.

(b) If the answer to either (a)(1) or (a) (2) above is affirmative, the bidder/offeror shall make an immediate and full written disclosure to the PHA Contracting Officer.

(c) Any misrepresentation by the bidder/offeror shall give the PHA the right to (1) terminate the resultant contract; (2) at its discretion, to deduct from contract payments the amount of any commission, percentage, brokerage, or other contingent fee; or (3) take other remedy pursuant to the contract.

2. Small, Minority, Women-Owned Business Concern Representation

The bidder/offeror represents and certifies as part of its bid/offer that it:

- (a) is, is not a small business concern. "Small business concern," as used in this provision, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding, and qualified as a small business under the criteria and size standards in 13 CFR 121.
- (b) is, is not a women-owned small business concern. "Women-owned," as used in this provision, means a small business that is at least 51 percent owned by a woman or women who are U.S. citizens and who also control and operate the business.
- (c) is, is not a minority enterprise which, pursuant to Executive Order 11625, is defined as a business which is at least 51 percent owned by one or more minority group members or, in the case of a publicly owned business, at least 51 percent of its voting stock is owned by one or more minority group members, and whose management and daily operations are controlled by one or more such individuals.

For the purpose of this definition, minority group members are:

(Check the block applicable to you)

- Black Americans Asian Pacific Americans
- Hispanic Americans Asian Indian Americans
- Native Americans Hasidic Jewish Americans

3. Certificate of Independent Price Determination

(a) The bidder/offeror certifies that—

- (1) The prices in this bid/offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other bidder/offeror or competitor relating to (i) those prices, (ii) the intention to submit a bid/offer, or (iii) the methods or factors used to calculate the prices offered;
- (2) The prices in this bid/offer have not been and will not be knowingly disclosed by the bidder/offeror, directly or indirectly, to any other bidder/offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and
- (3) No attempt has been made or will be made by the bidder/offeror to induce any other concern to submit or not to submit a bid/offer for the purpose of restricting competition.

(b) Each signature on the bid/offer is considered to be a certification by the signatory that the signatory:

- (1) Is the person in the bidder/offeror's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; or
- (2) (i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above (insert full name of person(s) in the bidder/offeror's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the bidder/offeror's organization);
(ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and



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Request for Proposals and Letter of Interest for
Development Opportunities

(iii) As an agent, has not personally participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above.

(c) If the bidder/offeree deletes or modifies subparagraph (a)(2) above, the bidder/offeree must furnish with its bid/offer a signed statement setting forth in detail the circumstances of the disclosure.

4. Organizational Conflicts of Interest Certification

(a) The Contractor warrants that to the best of its knowledge and belief and except as otherwise disclosed, it does not have any organizational conflict of interest which is defined as a situation in which the nature of work under a proposed contract and a prospective contractor's organizational, financial, contractual or other interest are such that:

- (i) Award of the contract may result in an unfair competitive advantage;
- (ii) The Contractor's objectivity in performing the contract work may be impaired; or
- (iii) That the Contractor has disclosed all relevant information and requested the HA to make a determination with respect to this Contract.

(b) The Contractor agrees that if after award he or she discovers an organizational conflict of interest with respect to this contract, he or she shall make an immediate and full disclosure in writing to the HA which shall include a description of the action which the Contractor has taken or intends to eliminate or neutralize the conflict. The HA may, however, terminate the Contract for the convenience of HA if it would be in the best interest of HA.

(c) In the event the Contractor was aware of an organizational conflict of interest before the award of this Contract and intentionally did not disclose the conflict to the HA, the HA may terminate the Contract for default.

(d) The Contractor shall require a disclosure or representation from subcontractors and consultants who may be in a position to influence the advice or assistance rendered to the HA and shall include any necessary provisions to eliminate or neutralize conflicts of interest in consultant agreements or subcontracts involving performance or work under this Contract.

5. Authorized Negotiators (RFP's only)

The offeror represents that the following persons are authorized to negotiate on its behalf with the PHA in connection with this request for proposals: (list names, titles, and telephone numbers of the authorized negotiators):

6. Conflict of Interest

In the absence of any actual or apparent conflict, the offeror, by submission of a proposal, hereby warrants that to the best of its knowledge and belief, no actual or apparent conflict of interest exists with regard to my possible performance of this procurement, as described in the clause in this solicitation titled "Organizational Conflict of Interest."

7. Offeror's Signature

The offeror hereby certifies that the information contained in these certifications and representations is accurate, complete, and current.

Signature & Date:

Typed or Printed Name:

Title:



SECTION 3 SPECIFICATIONS CLAUSE (revised 1/22/16 –Compliance Cord.)

The Section 3 information contained in the following pages is to be inserted in its entirety into every solicitation for work or contracts by Housing Authority of the City of El Paso (HACEP), the contractor and sub-contractors. All required forms and the Section 3 Clause are already included along with instructions to all contractors bidding work.

All contractors requiring any sub-contractors **MUST** issue this package and receive these completed **required Section 3 forms** before issuing any contracts:

- Section 3 Business Certification (if applicable)
- Section 3 Action Plan
- Section 3 Self-Certification and Skills Data Form (For Section 3 residents and New hires when applicable)

If the contractor is claiming certification as a 51% Resident Owned Business (ROB) or is certifying as a 30% employer the following form must be returned for all employees that meet the low- or Very low-income requirement

- Section 3 Self-Certification and Skills Data Form

Overview and Instructions for Contractors

HACEP's Section 3 policy requires that when the **Section 3 regulation is triggered by a need for new hires (whether individual employees, contractors or sub-contractors)**, every effort within the contractor's disposal must be made to the greatest extent feasible to offer all available employment and contracting opportunities to its residents based on the tiers below. Only when the regulation is triggered by a contractor and they are unable to offer employment or contracting. The contractor may offer employment related training to the Section 3 residents.

I. Tiers for offering all opportunities to Section 3 Residents and Resident Owned Businesses

1. At the site where the work is being performed
2. At any other HACEP owned or managed property
3. Other HUD funded beneficiaries including Section 8 Voucher holders
4. Other low-income people in the HACEP service area

II. What is a Section 3 Business Concern and how do they receive Preference in contract award?

A business that meets these certification definitions must receive Preference in contracting:

1. Is 51% or more owned by Section 3 residents;
 2. Employs Section 3 residents for at least 30% of its full-time, permanent staff; or (**During the entire life of the contract**)
 3. Provides evidence of a commitment to subcontract to Section 3 business concerns, 25% or more of the dollar amount of the awarded contract.
- YOU MUST MAINTAIN THOSE PREFERENCE LEVELS DURING THE ENTIRE CONTRACT OR RISK HAVING THE CONTRACT TERMINATED FOR FAILURE TO COMPLY



III. Other Methods of Compliance

Contractors can provide an array of trainings to Section 3 residents that are employment related, skills enhancing or employment readiness in nature. Here are the methods of achieving compliance through training. Training and other employment opportunities must receive prior approval from HACEP.

1. Contractor must develop a solid professional curriculum and it must be pre-approved by HACEP.
2. Contractor may identify a person or persons that are qualified to provide the training within their staff.
3. Contractors can partner with other groups that provide the desired training and pay them directly for the service.
4. The contractor can sub-contract the Section 3 compliance training to an outside firm specializing in training and educational programs to Section 3 residents.

IV. All Contracts and All Contractors must meet Section 3 compliance by:

Step 1 Give notice of any and all opportunities for employment and contracting to HACEP residents and other low and very low-income area residents and businesses by posting the position (s) in community sources that are generally available to low income residents and the general-public.

- (1) Local community newspapers
- (2) Widely distributed newspapers
- (3) Company agency website
- (4) HACEP communities and HACEP website
- (5) Upper Rio Grande Workforce Solutions
- (6) Other locations as approved by HACEP

Step 2 Hiring notices should clearly state the requirements for applying and achieving the opportunity and that the position is a “Section 3” covered position under the HUD Act of 1968.

Step 3 Utilize the Section 3 Clause in RFB’s, RFP’, RFQ’s, etc., contracts and subcontracts.

Step 4 Hold informational meetings when possible prior to requesting bids or taking applications so the residents or businesses are encouraged to apply for the employment or contracting.

Step 5 Provide preference in hiring and contracting to Section 3 applicants and contractors when all factors are equal for the opportunity, including price and salary requests.



Section 3 Clause

Training and Employment Opportunities for Residents in the Project Area (Section 3, HUD Act of 1968; 24 CFR 135)

(a) The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.

(b) The parties to this contract agree to comply with HUD's regulations in 24 CFR Part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 135 regulations.

(c) The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of Section 3 apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

(d) The contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 135.

(e) The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR Part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR Part 135.

(f) Noncompliance with HUD's regulations in 24 CFR Part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.



Public Housing Authority Required Submittal Section 3 Certification and Action Plan

Name of Business _____

Address of Business _____

Type of Business (Check One): Corporation Partnership Sole Proprietorship Other

Contract/Solicitation Name or Number: _____

All firms and individuals intending to do business with RECIPIENT and contractors MUST complete and submit this Action Plan and submit it with the bid, offer, or proposal. Any solicitation response that does not include this document (completed and signed) will be considered non-responsive and not eligible for award.

I am Certifying as a Section 3 Concern and requesting Preference accordingly (Select only One Option):

51% Resident Owned
A business claiming status as a Section 3 Resident-Owned Business Concern (ROB) entity:
Initial here to select this option _____
Provide Certification for Section 3 Residents and proof that they own a minimum 51% of the business

25% Sub-Contracting
A business claiming Section 3 status by subcontracting 25% of the dollar award to qualified Section 3 Business:
Initial here to select this option _____
Provide a list of intended subcontract Section 3 business (es) with amount
Provide certification & all supporting documentation for each planned Section 3 Business Concern

IMPROTANT NOTICE: Preference must be maintained for the entire contract or the contract will be in non-compliance and at risk of termination.

30% Employer of Section 3 Residents Currently or New Hires
Section 3 status, because at least 30% of the existing or newly hired workforce for this specific contract will be Section 3 residents throughout the entire contract period. If a Prime or General Contractor is electing this option, the 30% employment requirement will be for the entire project including all the sub-contractors employees.
Initial here to select this option _____
I anticipate my total number of employees for this contract to be ____ and ____ will be qualified Section 3.
Check all methods you will employ to secure Section 3 Residents/Persons. Posting the position in community sources that are generally available to low income residents and the general public is a standard requirement. Check at least three (3) methods you will employ

- The local community newspaper
- Widely distributed newspaper
- Company or agency website
- HACEP communities and HACEP website
- Upper Rio Grande Workforce Solutions
- Other locations as approved by HACEP

Other: _____



IF CONTRACTOR DOES NOT ANTICIPATE TRIGGERING THE REGULATION, CHECK BOTH BOXES.

- I do not anticipate any new employees on this contract.
- I do not anticipate any new contracting on this contract.

PRIOR COMPLIANCE CERTIFICATION

I am certifying that I have complied with the HUD Section 3 Regulations in my past contracts **when required** by the recipient, contractor by employing the following:

1. I was a Section 3 Resident-Owned Business (ROB). List the Contracts and HUD Funded Entity and Contact:

2. I complied with Section 3 by employing at least 30% of my workforce. List the Contracts and HUD Funded Entity and Contact:

3. I complied with Section 3 by subcontracting 25% of the total dollar award to a qualified Section 3 Business. List the Contracts and HUD Funded Entity and Contact:

4. I complied with Section 3 on a previous HUD funded contract by doing these things and with these entities: Describe:

5. I completed HUD Section 3 covered contracts in the past three years but was not required to meet compliance.

Check the box of the corresponding reason below.

I did not trigger the regulation by hiring any new employees on my Previous contract(s) in violation of the Section 3 regulation.

I did not trigger the regulation by hiring any contractors on

6. I certify that I have not performed previous Section 3 covered contracts

Signature



Housing Authority of the City of El Paso

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Request for Proposals and Letter of Interest for
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Print Name

Date

SECTION 3 SELF-CERTIFICATION AND SKILLS DATA FORM

Certification for Section 3 Residents or other Low-Income Persons Seeking Employment, Training or Contracting

Eligibility for Preference

A Section 3 resident seeking the preference in training and employment provided by this part shall certify, or submit evidence to the recipient contractor or subcontractor, if requested, that the person is a Section 3 resident, as defined in Section 135.5. (An example of evidence of eligibility for the preference is evidence of receipt of public assistance, or evidence of participation in a public assistance program).

I, _____, am legal resident of the United States and meet the income eligibility and federal guidelines for a Section 3 Resident as defined on the next page.

My home address is:

_____ Must be a **Street** address not a P O Box # Apt Number

_____ City State Zip Home # Cell #

I have attached the following documentation as evidence of my status:

_____ Copy of lease _____ Copy of recipient of public assistance

_____ Copy of Evidence of Participation In a public assistance program _____ other evidence: _____

Graduated High School or GED (month/year) _____ I Read and Speak English Fluently Yes or No

Attended College, Trade, or Technical School ___ Yes/No Graduated ___ Yes/No Year Graduated _____

Check the Skills, Trades, and/or Professions you have been employed in or contracted to do for others:

Drywall Hanging Drywall Finishing Interior Painting Framing

HVAC Electrical Interior Plumbing Exterior Plumbing

Siding Cabinet Hanging Door Replacement Trim/Carpentry



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- | | | | |
|---|--|--|---|
| <input type="checkbox"/> Stucco | <input type="checkbox"/> Window/Door Repl. | <input type="checkbox"/> Construction Cleaning | <input type="checkbox"/> Exterior Framing |
| <input type="checkbox"/> Data Entry | <input type="checkbox"/> Receptionist | <input type="checkbox"/> Sales | <input type="checkbox"/> Telephone Customer Service |
| <input type="checkbox"/> Administrative | <input type="checkbox"/> Teaching/Training | <input type="checkbox"/> Personal Care Aid | <input type="checkbox"/> Landscaping |
| <input type="checkbox"/> CDL License | <input type="checkbox"/> Roofing | <input type="checkbox"/> Concrete/Asphalt Work | <input type="checkbox"/> Heavy Equipment Operator |
| <input type="checkbox"/> Fencing | <input type="checkbox"/> Metal/Steel Work | <input type="checkbox"/> Welding | <input type="checkbox"/> Other _____ |

Continued in other page

I am certifying as a Section 3: **Person seeking Training** or **Person seeking employment**

(Check all that apply):

I am a public housing leaseholder

I am a Section 8 leaseholder

I live in the service area of the Authority (El Paso, TX)

My total annual household income is \$_____ There are a total of _____ people living in my household

I certify that all of the information given above is true and correct. If found to be inaccurate, I understand that I may be disqualified as an applicant and/or a certified Section 3 individual which may be grounds for termination of training, employment, or contracts that resulted from this certification. I attest under penalty of perjury that my total household income annually, based on my total household size as listed above is at or below the income amount for that specific size at the time of this document is being signed. I understand that proof of this statement may be requested in the future.

Signature

Print Name

Date



FY 2016 Income Limits Summary

| FY 2016 Income Limit Area | Median Income Explanation | FY 2016 Income Limit Category | Persons in Family | | | | | | | |
|------------------------------|---|---|-------------------|--------|--------|---------------|---------|---------|---------|---------|
| | | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| El Paso County | \$45,400 | Very Low (50%) Income Limits (\$) Explanation | 18,350 | 21,000 | 23,600 | 26,200 | 28,300 | 30,400 | 32,500 | 34,600 |
| | | Extremely Low Income Limits (\$)* Explanation | 11,880 | 16,020 | 20,160 | 24,300 | 28,300* | 30,400* | 32,500* | 34,600* |
| | | Low (80%) Income Limits (\$) Explanation | 29,350 | 33,550 | 37,750 | 41,900 | 45,300 | 48,650 | 52,000 | 55,350 |



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As part of the Housing and Urban Development's (HUD) Section III initiative for providing employment opportunities for public housing residents, the Engineer will identify construction labor opportunities that may be performed by skilled and unskilled residents.

All Section 3 covered contracts shall include the following clause (referred to as the Section 3 Clause):

- A. The work to be performed under this Contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance of HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low-and very low-income persons, particularly persons, who are recipients of HUD assistance for housing.
- B. The parties to this Contract agree to comply with HUD's regulations in 24 CFR Part 135, which implement Section 3. As evidenced by their execution of this Contract, the parties to this Contract certify that they are under no contractual or other impediment that would prevent them from complying with part 135 regulations.
- C. The Contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement of other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 Clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 reference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and anticipated date the work shall begin.
- D. The Contractor agrees to include this Section 3 Clause in every subcontract subject to compliance with regulations in 24 CFR Part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 Clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 135. The Contractor will not subcontract with any subcontractor where the Contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 135.
- E. The Contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the Contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR Part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR Part 135.
- F. Noncompliance with HUD's regulations in 24 CFR Part 135 may result in sanctions, termination of this Contract for default, and debarment of suspension from HUD assisted contracts.



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PREFERENCE FOR SECTION 3 BUSINESS CONCERNS IN CONTRACTING OPPORTUNITIES:

Order of providing preference:

Contractor and subcontractor shall direct their efforts to award Section 3 covered contracts, to the greatest extent feasible, to Section 3 business concerns in the following order of priority:

1. Public and Indian housing programs. In public and Indian housing programs, efforts shall be directed to award contracts to Section 3 business concerns in the following order of priority:
 - a. Business concerns that are 51% percent or more owned by residents of the housing development or developments for which the Section 3 covered assistance is expended, or whose full-time, permanent workforce includes 30% percent of these persons as employees (category 1 businesses);
 - b. Business concerns that are 51% percent or more owned by residents of other housing developments or developments managed by the HA that is expending the Section 3 covered assistance, or whose full-time, permanent workforce includes 30% percent of these persons as employees (category 2 businesses); or
 - c. HUD Youth build programs being carried out in the metropolitan area (or Non-metropolitan county) in which the Section 3 covered assistance is expended (category 3 businesses).
 - d. Business concerns that are 51% percent or more owned by Section 3 residents, or whose permanent, full-time workforce includes no less than 30% percent Section 3 residents (category 4 businesses), or that subcontract in excess of 25% percent of the total amount of subcontracts to Section 3 business concerns.

Housing and community development programs. In housing and community development programs, priority considerations shall be given, where feasible, to:

- a. Section 3 business concerns that provide economic opportunities for Section 3 residents in the service area or neighborhood in which the Section 3 covered project is located (category 1 businesses); and
- b. Applicants (as this term is defined in 42 U.S.C. 12899) selected to carry out HUD Youth build programs (category 2 businesses);
- c. Other Section 3 business concerns.

Eligibility to preference.

A business concern seeking to qualify for a Section 3 contracting preference shall certify or submit evidence, if requested, that the business concern is a Section 3 business concern as defined in Sec. 135.5.



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Ability to complete contract. A section 3 business concern seeking a contract or a subcontract shall submit evidence to the Contractor, or subcontractor (as applicable), if requested, sufficient to demonstrate to the satisfaction of the party awarding the contract that the business concern is responsible and has the ability to perform successfully under the terms and conditions of the proposed contract. (The ability to perform successfully under the terms and conditions of the proposed contract is required of all Contractors and subcontractors subject to the procurement standards of 24 CFR 85.36 (see 24 CFR 85.36 (b)(8)). This regulation requires consideration of, among other factors, the potential Contractor's record in complying with public policy requirements. Section 3 compliance is a matter properly considered as part of this determination.



RESPONDENT APPLICATION PRIORITY CERTIFICATION

I, _____, hereby certify on behalf of

_____ (insert name of Respondent) and its key principals
that:

(i) no application for development funding submitted by this Respondent, other than by score or rank, will have priority over any application for funding for the proposed project for which is described in this RFP or for which the Respondent and the Housing Authority of the City of El Paso (HACEP) have entered into partnership to develop and,

(ii) that the Respondent will make every effort to give this project priority over any other projects it will be submitting funding applications for, and

(iii) the Respondent will make every effort not to submit competing applications within the same geographic, demographic or special set-aside categories within a funding cycle, without notification to HACEP and written approval by HACEP, and

(iv) the Respondent will provide notice to HACEP of any and all contemplated competing funding applications within any same funding cycle in which –HACEP intends to submit an application.

Signature of Key Principal of Respondent



RESPONDENT PROFILE FORM

Firm Name _____

Business Address _____

City _____ State _____ Zip Code _____

Names and Titles of Two Contact Persons:

| | |
|----------|--------------------|
| 1. _____ | Phone (____) _____ |
| Name | Title |

| | |
|----------|--------------------|
| 2. _____ | Phone (____) _____ |
| Name | Title |

Submittal is for: Parent Company Division

Subsidiary Branch Office

Type of Firm: Corporation

Partnership

Sole Ownership

Joint Venture

Name & Address of Parent Company, if applicable:



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Former Firm Name(s), if applicable:

Please indicate if your firm is a recognized Minority Business Enterprise.

- Yes No

If yes, please indicate the appropriate category:

- American Indian Female-Owned Spanish Surname
 African-American Asian-American Other

Respondent Profile Form

Page two

Federal Employer Identification Number _____

Year Firm was established _____



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AUTHORIZATION

I hereby verify that the questions listed in the Respondent Profile Form and all statements therein contained are true and correct

Dated this _____ day of _____, 2017.

By _____

Name _____

Title _____



PART IV – REPRESENTATIONS AND INSTRUCTIONS SECTION

G

INSTRUCTIONS, CONDITIONS, AND NOTICES TO OFFERORS

G-1 SOLICITATION PROVISIONS INCORPORATED BY REFERENCE

| <u>FAR NO.</u> | <u>PROVISION TITLE</u> | <u>DATE</u> |
|----------------|---|-------------|
| 52.215-1 | Instructions to Offerors – Competitive Acquisition | OCT 1987 |
| 52.216-1 | Type of Contract | APR 1984 |
| G-2 | All proposals must be mailed or delivered to: Housing Authority of the City of El Paso, Texas 5300 E. Paisano Dr. El Paso, Texas 79905 | |

NOTE: It is the vendor’s sole responsibility to see that his/her proposal is received at the proper place on time.

G-3 If any prospective vendor is in doubt as to the true meaning of any portion of the proposal documents or requires any additional information to prepare his/her proposal response, he/she shall contact, in writing, to the Contracts Division at the above referenced address no later than 7 days before the closing date of the solicitation.

G-4 Proposals shall be dated with each page numbered and displaying the offeror’s identification. Signatures required shall be in longhand and by those authorized to execute an eventual contract.

G-5 No oral, telegraphic, or telephonic proposals or modifications will be considered.

G-6 The completed proposal shall answer all questions on a point-by-point basis in a concise manner, avoiding ambiguous statements and shall be without interlineations, alterations, and erasures.

G-7 All deviations to the proposal Statement of Work or any items or features that cannot or should not be solicited must be specifically identified. If no such areas of controversy are identified, the proposal should so state and it shall be understood that all items, features and costs have been included.

G-8 If the offeror is unable to comply with a requirement but is uncertain to the specific nomenclature in any specification, it shall enter a reference number of any supporting documents, etc., describing or interpreting the requirement.

G-9 All statements made by the offeror must be capable of being included into a written contract.

G-10 All documentation submitted automatically becomes the property of the Housing Authority of the City of El Paso, Texas.



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G-11 Expenses for proposal development are entirely the responsibility of the offeror and will not be chargeable in any manner to the Housing Authority of the City of El Paso, Texas.

G-12 The Housing Authority of the City of El Paso, Texas reserves the right to accept or reject any proposal, or any part of a proposal. Any resulting order will be awarded to that responsive, responsible offeror, whose proposal is most advantageous to the Housing Authority of the City of El Paso, Texas, all other factors considered.

G-13 Offeror must submit proof of all insurance coverages and be prepared to provide additional proof of same should the Housing Authority of the City of El Paso, Texas so request.

G-14 Offeror must provide financial information, including bank references, annual report, and a detailed financial statement at the request of HACEP.

G-15 All offers will be evaluated according to the criteria listed in the proposal. To be considered responsive the offer must respond to the criteria.

G-16 PROTEST TO THE AGENCY

(a) When a protest is filed with the agency, an award shall not be made until the matter is resolved unless the Director of Contracting or other designated official first determines that one of the following applies:

- (1) The supplies or services to be contracted for are urgently required.
- (2) Delivery or performance will be unduly delayed by failure to make award promptly.
- (3) A prompt award will otherwise be advantageous to the Housing Authority of the City of El Paso, Texas.

G-17 (52.252-1) SOLICITATIONS PROVISIONS INCORPORATED BY REFERENCE (JUNE 1988)

This solicitation incorporates one or more solicitation provisions by reference with the same force and effect as if they were given in full text. Upon request, the Director of Contract Compliance will make their full text available.

G-18 AWARD

The Housing Authority of the City of El Paso, Texas, at its discretion, may award multiple contracts for this requirement.

G-19 INCURRING COSTS

- (a) Costs shall not be incurred by receipts of the solicitation document in the anticipation of receiving direct reimbursement from the Housing Authority of the City of El Paso, Texas without the written authorization of the proper authority.
- (b) The Housing Authority of the City of El Paso, Texas assumes no liability for and shall not be obligated to the Contractor for payment for the Contractor's costs incurred prior to award.

G-20 PRE-AWARD SURVEY OF PROSPECTIVE CONTRACTOR

- (a) If an offer submitted in response to this solicitation that is favorably considered, a survey team may contact your facility to determine your ability to perform. Current financial statements and other pertinent data should be available for review at that time if not already on file with the office having cognizance over your facility. Areas that may be investigated or evaluated are listed below:



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1. Technical Capability
 2. Facilities
 3. Financial Capability
 4. Accounting System
 5. Quality Assurance
 6. Performance record
- (b) Offerors are advised that accomplishment of this survey is a part of the evaluation process and is not to be construed as an indication that an will receive or is in the best position to receive the resultant award.
- (c) The Housing Authority of the City of El Paso, Texas may conduct a pre-award survey on more than one at a time.

G – 21 FAILURE TO SUBMIT OFFER

Recipients of this solicitation not responding with an offer should not return this solicitation, unless it specifies otherwise. Instead, they should advise the issuing office by letter, postcard, or established electronic commerce methods, whether they want to receive future solicitations for similar requirements. If a recipient does not submit an offer and does not notify the issuing office that future solicitations are desired, the recipient's name may be removed from the applicable mailing list.

G-22 (9505) ORGANIZATIONAL CONFLICTS OF INTEREST

The Contracting Officer shall award the contract to the apparent successful offeror unless a conflict of interest is determined to exist that cannot be avoided or mitigated.



CONFLICTS CERTIFICATION

I, _____, hereby certify on behalf of

_____ (insert name of Respondent) and its key principals that:

- (i) No actual or apparent conflict of interest exists with regard to the Housing Authority of the City of El Paso,
- (ii) No actual or apparent conflict exists with regard to Respondent's or its key principal's possible performance as developer under this Request for Qualifications, and
- (iii) No actual or potential claim exists against the Housing Authority of El Paso.

Signature of Key Principal of Respondent



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Instructions to Offerors Non-Construction

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing



- 03291 -

1. Preparation of Offers

(a) Offerors are expected to examine the statement of work, the proposed contract terms and conditions, and all instructions. Failure to do so will be at the offeror's risk.

(b) Each offeror shall furnish the information required by the solicitation. The offeror shall sign the offer and print or type its name on the cover sheet and each continuation sheet on which it makes an entry. Erasures or other changes must be initialed by the person signing the offer. Offers signed by an agent shall be accompanied by evidence of that agent's authority, unless that evidence has been previously furnished to the HA.

(c) Offers for services other than those specified will not be considered.

2. Submission of Offers

(a) Offers and modifications thereof shall be submitted in sealed envelopes or packages (1) addressed to the office specified in the solicitation, and (2) showing the time specified for receipt, the solicitation number, and the name and address of the offeror.

(b) Telegraphic offers will not be considered unless authorized by the solicitation; however, offers may be modified by written or telegraphic notice.

(c) Facsimile offers, modifications or withdrawals will not be considered unless authorized by the solicitation.

3. Amendments to Solicitations

(a) If this solicitation is amended, then all terms and conditions which are not modified remain unchanged.

(b) Offerors shall acknowledge receipt of any amendments to this solicitation by

- (1) signing and returning the amendment;
- (2) identifying the amendment number and date in the space provided for this purpose on the form for submitting an offer,
- (3) letter or telegram, or
- (4) facsimile, if facsimile offers are authorized in the solicitation. The HA/HUD must receive the acknowledgment by the time specified for receipt of offers.

4. Explanation to Prospective Offerors

Any prospective offeror desiring an explanation or interpretation of the solicitation, statement of work, etc., must request it in writing soon enough to allow a reply to reach all prospective offerors before the submission of their offers. Oral explanations or instructions given before the award of the contract will not be binding. Any information given to a prospective offeror concerning a solicitation will be furnished promptly to all other prospective offerors as an amendment of the solicitation, if that information is necessary in submitting offers or if the lack of it would be prejudicial to any other prospective offerors.

5. Responsibility of Prospective Contractor

(a) The HA shall award a contract only to a responsible prospective contractor who is able to perform successfully under the terms and conditions of the proposed contract. To be determined responsible, a prospective contractor must -

- (1) Have adequate financial resources to perform the contract, or the ability to obtain them;

- (2) Have a satisfactory performance record;
- (3) Have a satisfactory record of integrity and business ethics;
- (4) Have a satisfactory record of compliance with public policy (e.g., Equal Employment Opportunity); and
- (5) Not have been suspended, debarred, or otherwise determined to be ineligible for award of contracts by the Department of Housing and Urban Development or any other agency of the U.S. Government. Current lists of ineligible contractors are available for inspection at the HA/HUD.

(b) Before an offer is considered for award, the offeror may be requested by the HA to submit a statement or other documentation regarding any of the foregoing requirements. Failure by the offeror to provide such additional information may render the offeror ineligible for award.

6. Late Submissions, Modifications, and Withdrawal of Offers

(a) Any offer received at the place designated in the solicitation after the exact time specified for receipt will not be considered unless it is received before award is made and it -

- (1) Was sent by registered or certified mail not later than the fifth calendar day before the date specified for receipt of offers (e.g., an offer submitted in response to a solicitation requiring receipt of offers by the 20th of the month must have been mailed by the 15th);
- (2) Was sent by mail, or if authorized by the solicitation, was sent by telegram or via facsimile, and it is determined by the HA/ HUD that the late receipt was due solely to mishandling by the HA/ HUD after receipt at the HA;
- (3) Was sent by U.S. Postal Service Express Mail Next Day Service - Post Office to Addressee, not later than 5:00 p.m. at the place of mailing two working days prior to the date specified for receipt of proposals. The term "working days" excludes weekends and U.S. Federal holidays; or
- (4) Is the only offer received.

(b) Any modification of an offer, except a modification resulting from the HA's request for "best and final" offer (if this solicitation is a request for proposals), is subject to the same conditions as in subparagraphs (a)(1), (2), and (3) of this provision.

(c) A modification resulting from the HA's request for "best and final" offer received after the time and date specified in the request will not be considered unless received before award and the late receipt is due solely to mishandling by the HA after receipt at the HA.

(d) The only acceptable evidence to establish the date of mailing of a late offer, modification, or withdrawal sent either by registered or certified mail is the U.S. or Canadian Postal Service postmark both on the envelope or wrapper and on the original receipt from the U.S. or Canadian Postal Service. Both postmarks must show a legible date or the offer, modification, or withdrawal shall be processed as if mailed late. "Postmark" means a printed, stamped, or otherwise placed impression (exclusive of a postage meter machine impression) that is readily identifiable without further action as having been supplied and affixed by employees of the U.S. or Canadian Postal Service on the date of mailing. Therefore, offerors should request the postal clerk to place a hand cancellation bull's-eye postmark on both the receipt and the envelope or wrapper.

(e) The only acceptable evidence to establish the time of receipt at the HA is the time/date stamp of HA on the offer wrapper or other documentary evidence of receipt maintained by the HA.



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(f) The only acceptable evidence to establish the date of mailing of a late offer, modification, or withdrawal sent by Express Mail Next Day Service-Post Office to Addressee is the date entered by the post office receiving clerk on the "Express Mail Next Day Service-Post Office to Addressee" label and the postmark on both the envelope or wrapper and on the original receipt from the U.S. Postal Service. "Postmark" has the same meaning as defined in paragraph (c) of this provision, excluding postmarks of the Canadian Postal Service. Therefore, offerors should request the postal clerk to place a legible hand cancellation bull's eye postmark on both the receipt and the envelope or wrapper.

(g) Notwithstanding paragraph (a) of this provision, a late modification of an otherwise successful offer that makes its terms more favorable to the HA will be considered at any time it is received and may be accepted.

(h) If this solicitation is a request for proposals, proposals may be withdrawn by written notice, or if authorized by this solicitation, by telegram (including mailgram) or facsimile machine transmission received at any time before award. Proposals may be withdrawn in person by a offeror or its authorized representative if the identity of the person requesting withdrawal is established and the person signs a receipt for the offer before award. If this solicitation is an invitation for bids, bids may be withdrawn at any time prior to bid opening.

7. Contract Award

(a) The HA will award a contract resulting from this solicitation to the responsible offeror whose offer conforming to the solicitation will be most advantageous to the HA, cost or price and other factors, specified elsewhere in this solicitation, considered.

(b) The HA may

- (1) reject any or all offers if such action is in the HA's interest,
- (2) accept other than the lowest offer,
- (3) waive informalities and minor irregularities in offers received, and
- (4) award more than one contract for all or part of the requirements stated.

(c) If this solicitation is a request for proposals, the HA may award a contract on the basis of initial offers received, without discussions. Therefore, each initial offer should contain the offeror's best terms from a cost or price and technical standpoint.

(d) A written award or acceptance of offer mailed or otherwise furnished to the successful offeror within the time for acceptance specified in the offer shall result in a binding contract without further action by either party. If this solicitation is a request for proposals, before the offer's specified expiration time, the HA may accept an offer, whether or not there are negotiations after its receipt, unless a written notice of withdrawal is received before award. Negotiations conducted after receipt of an offer do not constitute a rejection or counteroffer by the HA.

(e) Neither financial data submitted with an offer, nor representations concerning facilities or financing, will form a part of the resulting contract.

8. Service of Protest

Any protest against the award of a contract pursuant to this solicitation shall be served on the HA by obtaining written and dated acknowledgment of receipt from the HA at the address shown on the cover of this solicitation. The determination of the HA with regard to such protest or to proceed to award notwithstanding such protest shall be final unless appealed by the protestor.

9. Offer Submission

Offers shall be submitted as follows and shall be enclosed in a sealed envelope and addressed to the office specified in the solicitation. The proposal shall show **the hour and date specified in the solicitation for receipt, the solicitation number, and the name and address of the offeror, on the face of the envelope.**

It is very important that the offer be properly identified on the face of the envelope as set forth above in order to insure that the date and time of receipt is stamped on the face of the offer envelope. Receiving procedures are: date and time stamp those envelopes identified as proposals and deliver them immediately to the appropriate contracting official, and only date stamp those envelopes which do not contain identification of the contents and deliver them to the appropriate procuring activity only through the routine mail delivery procedure.

[Describe bid or proposal preparation instructions here:]



AGREEMENT TO CONTRACT ELECTRONICALLY

PARTIES:

1. The parties to this agreement are the Housing Authority of the City of El Paso (HACEP) with its principals place of business at 5300 E. Paisano Dr. El Paso, Texas 79905 and _____, (herein known as “Contractor”) a corporation/other with its principal place of business at _____ (address).

METHOD OF CONTRACTING:

2. The parties intend to enter into a contact that will be completed electronically. The parties agree that their communications will consist of emails and other communication methods as appropriate.

The following hardware and software are needed to allow these transactions:

RECEIPIENT (SIGNER) REQUIREMENTS

Operations Systems: Windows XP, Windows Vista, Windows 7; Mac OS X

Browsers: Final release versions of Internet Explorer 7.0 or above (Windows only); Mozilla Firefox 3.0 or Mobile Signing: Apple iOS 4.0 or above. Android 2.2 or above.

PDF Reader: Acrobat® or similar software may be required to view and print PDF files.

Screen Resolution: 1024 X 768 minimum.

Enabled Security Settings: Allow per session cookies.

SECURITY:

3. In order to ensure the security of the transaction(s), the following procedures will be employed: the contract and subsequent contract modifications will be signed with the digital signature of a company representative that will be encrypted to bank grade security. DocuSign will be the company website retained to process electronic signatures on the above-mentioned documents. Documents stored in DocuSign’s ISO 27001 and SSAE 16 data centers are encrypted with the AES-256 standard and use 256-bit SSL document transmission. Further information regarding DocuSign’s security can be found at <https://www.docusign.com/how-it-works/security>.

REVOICATION:

4. The parties acknowledge that the signing of this agreement binds them to conduct the transaction that is the subject of the agreement electronically, but that they are not bound to use electronic means in connection with any future transactions.

Contractor

Gerald Cichon



Chief Executive Officer

STATEMENT OF OFFEROR'S QUALIFICATIONS

All questions must be answered and the data given must be clear and comprehensive. This statement must be notarized. If necessary, questions may be answered on separate attached sheets. The Offeror may submit any additional information he/she desires.

1. Name of Firm (Legal Name)

a. Name of President:

2. Permanent main office address. Including city, state and zip code, main phone number.

3. When organized (year).

4. If a Corporation, where incorporated.

5. The offeror represents that the following persons are authorized to negotiate on its behalf with the PHA in connection with this request for proposals: (list names, titles, and telephone numbers of the authorized negotiators):

Name: _____

Title: _____

Telephone Number: _____

Email: _____



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(Please attach sheets if more than one person is authorized to negotiate on the firm's behalf)

5. How many years have you been engaged in practice under your present firm name?

6. Contracts on hand: (schedule this showing gross amount of each contract and the appropriate anticipated dates of completion.)

7. General character of work performed by your company.

8. Have you ever failed to complete any work awarded to you? If so, where why? (Be specific and attach separate sheets if needed)

9. Have you ever defaulted on a contract? If so, where and why? (Be specific, attach separate sheet if needed.)

10. List the more important contracts recently completed by you, stating approximate gross cost for each, and the month and year completed.



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11. List your major areas of expertise and resources available for this contract.

12. Experience in this type of work similar in size to this project.

13. Background and experience of the President, principal members of your organization and officers. (Attach separate sheets.)

14. Please attach a letter from your bank (on bank letterhead) referencing the following information: Is your account in good standing? What year was the account established? What is the account balance (\$50,000 can be expressed as mid-five figures)?

15. Attach letters of reference from (3) firms that do business with your company, (strictly those firms who have awarded contracts to your company).

16. Will you, upon request, fill out a detailed financial statement and furnish any other information that may be required by the Housing Authority of the City of El Paso, Texas?

YES NO

a. Have you ever been a party to or otherwise involved in any action or legal proceeding involving matters related to race, color, nationality, sex, disability, age or religion? If so, give full details. (Attach separate sheets.)

b. Have you ever been accused of discrimination based upon race, color, nationality, sex, disability, age, or religion in any action or legal proceeding including any related to any Federal Agency?



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If so, give full details. (Attach separate sheets.)

17. Do you provide safety training for your employees? _____ Please attach details.

18. The undersigned hereby authorized and request any person, firm or corporation to furnish any information requested by the Housing Authority of the City of El Paso, Texas in verification of the recitals comprising this Statement of Offeror's Qualifications.

Date _____ this _____ day of _____, 20_____.

(Name of Offeror)

By: _____

Title: _____

State of _____)

City/County of _____) ^{ss}

_____ Being duly sworn,
deposes

and says that he/she is the _____ of

_____ and that the answers to the foregoing
questions and all statements therein contained are true and correct.

Subscribed and sworn to before me this _____ day of _____, 20_____.

(Notary Public)

My Commission Expires _____.



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Certification Regarding Debarment and Suspension

U.S. Department of Housing and Urban Development

Certification A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions

1. The prospective primary participant certifies to the best of its knowledge and belief that its principals;

a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal debarment or agency;

b. Have not within a three-year period preceding this proposal, been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false statements, or receiving stolen property;

c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

d. Have not within a three-year period preceding this application/ proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Instructions for Certification (A)

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the

department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.

3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.



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4. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

5. The terms **covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded**, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of these regulations.

6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification

Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines this eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph (6) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.



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Certification B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Instructions for Certification (B)

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

4. The terms **covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded**, as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of these regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph (5) of these instructions, if a participant in a lower tier covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies including suspension and/or debarment.

| | | |
|---|--|-------|
| Applicant | | Date |
| Signature of Authorized Certifying Official | | Title |



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DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

(See reverse for public burden disclosure.)

| | | | | | |
|--|--|--|--|---|--|
| <input type="checkbox"/> 1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance | | <input type="checkbox"/> 2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award | | <input type="checkbox"/> 3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____ | |
| 4. Name and Address of Reporting Entity: Prime Subawardee Tier _____, <i>if known:</i> Congressional District, <i>if known:</i> | | | 5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, <i>if known:</i> | | |
| 6. Federal Department/Agency: | | | 7. Federal Program Name/Description: CFDA Number, <i>if applicable:</i> _____ | | |
| 8. Federal Action Number, if known: | | | 9. Award Amount, if known: \$ _____ | | |
| 10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i> | | | b. Individuals Performing Services <i>(including address if different from No. 10a) (last name, first name, MI):</i> | | |
| 11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. | | | Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____ | | |
| Federal Use Only: | | | | Authorized for Local Reproduction Standard Form LLL (Rev. 7-97) | |



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INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.



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EVALUATION FACTORS FOR AWARD



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CONTRACT AWARD

Only written proposals will be considered. HACEP reserves the right to reject any proposals without further discussion or negotiations, and may waive technical errors or discrepancies if it serves the public interest. This solicitation for proposals is not to be considered a contract of any kind.

Written proposals will be reviewed, with emphasis on capacity and services proposed. Negotiations (interviews) may be conducted with all Offerors in the acceptable range, at the discretion of the Housing Authority of the City of El Paso, Texas. All Offerors in the competitive range will be asked to submit their Best and Final Offer. Thereafter, a recommendation for award of contract will be made to the Board of Commissioners. Upon approval by the HACEP Board of Commissioners, a contract will be awarded. HACEP reserves the right to exclude identified services from the contract and to award more than one contract.

After demonstrating full compliance with federal regulations at 24 CFR Part 85, Administrative Requirements (Federal Procurement, Competitive Negotiation Standards) and with all required approvals, HACEP will prepare a final contract document for execution and approval by the Contracting Officer and the contracting party.

No contract will be awarded for proposals that do not meet the satisfaction of the Board of Commissioners. Any contract awarded as a result of this Request for Proposals will be made only for the term outlined in the RFP. HACEP reserves the right to cancel unilaterally any contract derived from this Request for Proposals for failure to perform services satisfactorily. Any contract for the stated services or products herein is not an exclusive contract. HACEP reserves the right to assign other service providers to such matters as it deems necessary.



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AFFIRMATIVE ACTION

HACEP is an equal opportunity employer and requires all of its contractors to comply with policies and regulations concerning equal employment opportunity. Proposals should refer to affirmative action guidelines published by the Department of Housing and Urban Development regarding minority, women-owned, handicapped, and small business enterprises. In addition, HACEP requests information regarding the ethnicity of each partner, shareholder, and personnel employed by the company.

BASIS FOR AWARD

The Housing Authority of the City of El Paso, Texas will award this contract to the most qualified Offeror based on experience in the stated services as designated in the scope of services and fees negotiated.

The Housing Authority of the City of El Paso, Texas reserves the right to consider historic information and facts, whether gained from the firm's proposal, question and answer conferences, references or any other source in the evaluation.

The individual or firm is cautioned that it is the individual's or firm's sole responsibility to submit information related to the evaluation categories and the Housing Authority of the City of El Paso, Texas is under no obligation to solicit such information if it is not included with the individual's or firm's statement. Failure of an individual or firm to submit such information may cause an adverse impact on the evaluation of the individual or firm or lead to disqualification from consideration.



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TECHNICAL EVALUATION

WORK SHEET

Name: _____

Reviewer: _____

Date: _____

INSTRUCTIONS: Evaluation of technical proposals will be based upon an analysis of the Offeror's proposal in relation to the criteria contained in the request for proposal. This evaluation sheet is keyed to those criteria. Reviewers should record their evaluation of each proposal in terms of its strengths and weaknesses, the degree to which the proposal possesses or lacks the attributes set forth in the specific factors for award Points scores are to be assigned to each evaluation factor as indicated below. Reviewer's comments should be provided on this form. Additional sheets may be attached as necessary.

OVERALL SCORE: Evaluation sheets are provided for assistance in evaluated factors and weights contained in the **RFP**. Predetermined cut-off scores designed for determining overall rating shall not be employed.

COMPOSITE SCORE:

STRENGTHS/WEAKNESSES: (Evaluators should comment here on strengths/weakness of the technical proposal. Comments maybe used to formulate the Housing Authority of the City of El Paso, Texas position if continued negotiations are required).

Acceptable: _____

("This means that based upon the proposal as submitted, the PHA could contract with the offeror and expect that the work would be completed. The proposal is not perfect, but it contains no significant weaknesses")

Potentially Acceptable: _____



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("This means that the technical part of the proposal contains weaknesses that keep it from being acceptable, but with relatively minor changes or additional information from the offeror, it might be made acceptable. Once additional information is obtained via initial negotiations, this type of proposal must become either acceptable or unacceptable")

Unacceptable: _____

("This means that the proposal is seriously flawed to the point that no amount of negotiation would lead to improve it, or the offer would have to be substantially rewritten to be found acceptable. Either the offeror simply did not understand the PHA's requirement or did not elect to prepare a sufficient proposal. Technically unacceptable proposals should never be included in a competitive range")



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Effective July 1, 2014, the Housing Authority of the City of El Paso (HACEP) implemented the following guidelines applicable to all contractor business travel.

HACEP will reimburse based on the **GSA Per Diem Rates** www.gsa.gov/perdiem for the City of El Paso, TX:

- Lodging
- Meals and Incidentals Expenses

The following categories define HACEP expectations for Contractor travel and meal expenses. Proper documentation must be submitted with the Contractor invoice before HACEP will consider reimbursement of travel or meal expenses. Such documentation must include detailed receipts for all requested amounts and the valid business reason for the expense. In addition, where HACEP management approval is required prior to reimbursement, Contractor must submit a memo detailing management's approval or the signature of the appropriate HACEP management representative on the detailed receipt. HACEP reserves the right to request additional information when assessing payment and may refuse or limit payment based on the documentation, or lack thereof, provided.

- **Airfare** - Airfare is reimbursed at commercial Coach Class using lowest logical airfare and advance purchase options. Airfare should be booked as soon as practical to obtain best pricing options. HACEP allows non-stop service to be considered as lowest logical airfare. HACEP will not reimburse unused tickets, airport ticket class changes, or seat location upgrades. Use of non-commercial air service is expressly prohibited.
- **Tolls and Parking** – Parking will be reimbursed at actual cost for business trip expense. Commuter tolls and parking within city metropolitan area where work is performed is not reimbursable.
- **Transportation Services** - While scheduled transportation service using airport shuttles is permitted with proper receipts, private limousine or luxury shuttle service is **not reimbursable**. Taxi service is allowed in lieu of auto rental, however receipts must be provided.
- **Personal Vehicle** - Use of a personal vehicle in lieu of public transportation or a rental car is permitted when pre-approved by HACEP management. Mileage must be tracked on a



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daily trip log and reimbursement will be calculated at IRS standard mileage rates. Reported mileage must exclude normal commute mileage in accordance with IRS commute definitions. No personal vehicle expense, including gasoline or car repairs, is allowed for reimbursement.

- **Entertainment** - Casual entertainment including alcoholic beverages is ***not reimbursable***. HACEP management must pre-authorize any scheduled group events and such events must be limited to specific milestone or project recognition events. HACEP will not reimburse Contractor for entertaining HACEP employees without prior HACEP management approval.

Sincerely,

Satish Bhaskar

HACEP Chief Financial Officer

I certify that I have Read and acknowledge HACEP's Travel/expense [Guidelines](#).

Name and title: _____ **date:** _____



Vendor Information Form

| | |
|--|--|
| Firm Name | |
| Firm Address (full address): | |
| Firm Telephone Number: | |
| Firm Fax Number | |
| Firm Year Established: | |
| Types of services provided by the Firm | |
| Federal TAX ID # | |

Management person responsible for direct contact with the HACEP and services required for this Request for Proposal (RFP):

| | |
|-------------------|--|
| Name: | |
| Title: | |
| Telephone Number: | |
| Fax: | |
| Email: | |



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Person responsible for day-to-day servicing of the account:

| | |
|-------------------|--|
| Name: | |
| Title: | |
| Telephone Number: | |
| Fax: | |
| Email: | |



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REFERENCES

Provide the name, mailing address, and telephone number of two community partner references, two housing authority references, two tax credit investor references, and one housing finance agency reference. References must verify Respondent’s representations.

| | |
|----------------------------|--|
| Community Partner | |
| Email Address | |
| Phone | |
| Contact Name | |
| Community Partner | |
| Email Address | |
| Phone | |
| Contact Name | |
| Housing Authority | |
| Email Address | |
| Phone | |
| Contact Name | |
| Housing Authority | |
| Email Address | |
| Phone | |
| Contact Name | |
| Tax Credit Investor | |
| Email Address | |
| Phone | |



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| | |
|-------------------------------|--|
| Contact Name | |
| Tax Credit Investor | |
| Email Address | |
| Phone | |
| Contact Name | |
| Housing Finance Agency | |
| Email Address | |
| Phone | |
| Contact Name | |



ACKNOWLEDGMENT OF RECEIPT

OF

AMENDMENT OF SOLICITATION or

ADDENDA TO SOLICITATION

FOR DEVELOPMENT IN EL PASO, TEXAS

1. **AMENDMENT NUMBER(S) and dates issued:** _____

2. **ADDENDA NUMBER(S) and dates issued:** _____

3. **ISSUED BY:** The Housing Authority of the City of El Paso (the "Authority")

4. **BRIEF DESCRIPTION OF AMENDMENT(S):**

(attach copy(s) of Amendment(s) as issued by HACEP)

5. THE ABOVE SOLICITATION IS AMENDED OR CLARIFIED AS SET FORTH IN THE ATTACHED AMENDMENT(S) OR ADDENDA(S) AS ISSUED BY HACEP. RESPONDENTS MUST ACKNOWLEDGE RECEIPT OF THIS/THESE AMENDMENT(S) OR ADDENDA(S) PRIOR TO THE HOUR AND DATE SPECIFIED FOR RECEIPT OF PROPOSALS, BY SIGNING THIS FORM BELOW.



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EXCEPT AS PROVIDED HEREIN, ALL TERMS AND CONDITIONS OF THE SOLICITATION REMAIN
UNCHANGED AND IN FULL FORCE AND EFFECT.

ACKNOWLEDGMENT OF RECEIPT:

Name

Title

Signature

Date