

## **Quotation for Small Purchases**

#### **HOFFMAN GARDENS**

987 W 75<sup>th</sup> ST Hialeah, FL 33014

## Parking Lot Renovations at Hoffman Gardens

**TYPE OF PROJECT:** The Hialeah Housing Authority (HHA) is seeking proposals from qualified Contractors interested in providing all material, labor, and equipment for the Parking Lot Renovations at Hoffman Gardens.

Contact: Miguel Hernandez, C.F.P. Coordinator Telephone: (305) 888-9744 Ext 1028

Facsimile: (305) 887-0997

E-mail: Miguel. Hernandez@hialeahhousing.org

Site meeting has been scheduled on: February 11, 2021 at 10:30 a.m.

Location: 987 W 75th Street, Hialeah, FL 33014

### PROPOSALS ARE DUE NO LATER THAN

MARCH 1, 2021 at 11:00 a.m.

(Eastern Standard Time)

Your written proposals can be e-mailed, faxed or mailed to the attention of Miguel A. Hernandez, Capital Fund Program Coordinator, and must include the following documents:

- 1. Company Proposal
- 2. Statement for Bidders Qualifications
- 3. Non-Collusive Affidavit Form
- 4. HUD Form 5370-EZ Contracts Construction (*Initials all pages*)
- 5. W-9 Form
- 6. Section 3 Business Self-Certification Form
- 7. Certification Regarding Debarment, Suspension Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions Form
- 8. Acknowledgement of Davis Bacon Wages Rate
- 9. List of Subcontractor/Material Supplier Forms (if any)
- 10. Copy of signed Addendum received (if any)

#### All documents set forth above shall be properly executed and notarized as required in the document.



We are an equal opportunity housing provider. We do not discriminate on the basis of race, color, national origin, religion, sex, familial status or disability. This document is available in an alternate, accessible format upon request. Anyone who requires an auxiliary aid or service for effective communication, or a modification of policies or procedures to participate in a program, service, or activity offered by the Hialeah Housing Authority should contact the Section 504 Coordinator.

The Hialeah Housing Authority's business hours are 8:30 a.m. to 5:00 p.m., Monday through Friday. Additionally, the Hialeah Housing Authority is closed on federally recognized holidays.

All proposals received and time stamped by the Hialeah Housing Authority prior to the proposal submittal deadline shall be accepted as timely submitted. The circumstances surrounding all proposals received and time stamped by the Hialeah Housing Authority after the proposal submittal deadline will be evaluated by the procuring department to determine whether the proposal will be accepted as timely. Proposals will be opened promptly at the time and date specified. The responsibility for submitting a proposal on or before the stated time and date is solely and strictly the responsibility of the Proposer.

The Hialeah Housing Authority will in no way be responsible for delays caused by mail delivery or caused by any other occurrence. The submittal of a proposal by a Proposer will be considered by the Hialeah Housing Authority as constituting an offer by the Proposer to perform the required services at the stated prices. A Proposer may submit a modified proposal to replace all or any portion of a previously submitted proposal up until the proposal due date. The Hialeah Housing Authority will only consider the latest version of the proposal.

Requests for additional information or inquiries must be made in writing and received by the Hialeah Housing Authority's contact person for this Solicitation. The Hialeah Housing Authority will issue responses to inquiries and any changes to this Solicitation it deems necessary in written addenda issued prior to the proposal due date.

HIALEAH HOUSING AUTHORITY IS EXEMPT FROM ALL FEDERAL, STATE AND LOCAL TAXES

CONTRACT AWARDED IS SUBJECT TO THE REQUIREMENTS OF SECTION 3 OF THE HOUSING AND URBAN DEVELOPMENT ACT.

DAVIS BACON WAGE RATES SHALL APPLY. ATTENTION IS CALLED TO THE FACT THAT NOT LESS THAN THE MINIMUM OF SALARIES AND WAGES AS SET FORTH BY WDOL.GOV MUST BE PAID ON THIS PROJECT.

ATTENTION IS CALLED TO THE PROVISIONS FOR EQUAL EMPLOYMENT OPPORTUNITY.

HIALEAH HOUSING AUTHORITY RESERVES THE RIGHT TO WAIVE ANY IRREGULARITIES IN THE BIDDING PROCESS OR TO REJECT ANY OR ALL BIDS, OR TO REJECT A BID WHICH IS NOT RESPONSIVE AND/OR RESPONSIBLE.



# Statement of Bidder's Qualifications (General Contractor)

ALL QUESTIONS MUST BE ANSWERED and the data given must be clear and comprehensive. This statement must be notarized. If necessary, add separate sheets for items requiring additional explanation. This information may be submitted in a separate sealed envelope marked "Bidder's Qualifications". In the event your bid is not selected for award, this envelope will be returned to the Contractor unopened. Failure to meet this condition may be grounds for rejection of the bid.

| 1. | Name of Bidder   |   |                    |                     |             |  |  |  |  |  |  |
|----|--|---|--------------------|---------------------|-------------|--|--|--|--|--|--|
| 2. | Permanent main office add                                | ress.   |                    |                     |             |  |  |  |  |  |  |
| 3. | Date organized.  |   |                    |                     |             |  |  |  |  |  |  |
| 4. | State incorporated.                                      |   |                    |                     |             |  |  |  |  |  |  |
| 5. | How many years have you                                  | been engaged in the contra                            | acting business ur | nder your present f | irm name?   |  |  |  |  |  |  |
| 6. | Listing of current contracts anticipated dates for compl |   |                    |                     |             |  |  |  |  |  |  |
|    | CONTRACT   | NATURE OF WORK  | CONTRACT \$        | COMPLETION<br>DATE  | OWNERS NAME |  |  |  |  |  |  |
|    |  |   |                    |                     |             |  |  |  |  |  |  |
|    |  |   |                    |                     |             |  |  |  |  |  |  |
|    |  |   |                    |                     |             |  |  |  |  |  |  |
|    |  |   |                    |                     |             |  |  |  |  |  |  |
| 7. | General character of work                                | usually performed by your                             | company            |                     |             |  |  |  |  |  |  |
| 8. | Have you ever failed to con                              | mplete any work awarded t                             | to you? If so, whe | ere and why?   Y    | TES 🗆 NO    |  |  |  |  |  |  |
| 9. | Have you ever defaulted or                               | a contract?   YES                                     | □ NO               |                     |             |  |  |  |  |  |  |
| 10 | · · · · · · · · · · · · · · · · · · ·                    | ortant projects recently corompleted, name and teleph | none number of o   |                     |             |  |  |  |  |  |  |
|    | >  |   |                    |                     |             |  |  |  |  |  |  |

11. List your major equipment **Available** for use on this contract.

| > _  |  |                       |   |
|--|--|-----------------------|---|
| Experience in const                                | ruction work similar i                         | n importance t        | o this project.   YES NO  |
| 12. Background and expension construction superior | ntandant                                       |                       | your firm, including the officers and proposed  |
|  |  |                       |   |
|  |  |                       |   |
| 13. Has your company If yes, what has been th      |  | Design or Cons        | struction litigation during the past (5) years?   |
|  |  |                       |   |
|  |  |                       |   |
| 14. Credit available for                           | administration of this                         | contract, <b>furn</b> | ished written evidence.   |
|  |  |                       |   |
|  | est, fill out a detailed<br>Housing Authority? |                       | ment and furnish any other information that may   |
|  | ed by the Housing Au                           |                       | son, firm or corporation to furnish any ication of the recitals comprising this Statement |
| Dated at   | thisday  | of                    | ,·  |
|  |  |                       | (Name of Bidder)  |
|  |  | By                    | (Name of Bidder)  |
|  |  | J                     |   |
|  |  | Title                 |   |
| State of   | County of                                      |                       |   |
|  |  |                       | being duly sworn deposes and says   |
| that he is   | of   |                       | and that the answers to   |
| the foregoing questions and                        | all statements thereir                         | n contained are       | true and correct.   |
| Sworn to before me this                            | day of   | <b>,</b>              | ·   |
| Commission Expires(Bidder may submit addit         | ional information if                           | desired.)             |   |



#### **NON-COLLUSIVE AFFIDAVIT**

| <u>Nor</u>                              | <u>n-Collusive Affidavit</u>                                |
|---|---|
| (Mus                                    | st Be Signed And Notarized)                                 |
|   |   |
|   |   |
|   | (Company Name)  |
| State of                                |   |
| County of                               |   |
|   | , being first duly sworn,                                   |
| (company repr                           |   |
| deposes and says:                       |   |
| That he is                              | who is the party making the                                 |
| That he is (a partner or officer of     | f the firm, etc.)   |
| foregoing proposal or bid, that such    | proposal or bid is genuine and not collusive or sham; that  |
| said bidder has not colluded, conspi    | red, connived or agreed, directly or indirectly, with any   |
|   | id or to refrain from bidding, and has not in any manner,   |
|   | reement or collusion, or communication or conference,       |
|   | of affiance or of any other bidder, or to fix any overhead, |
|   | price, or of that of any other bidder, or to secure any     |
|   | sing Authority or any person interested in the proposed     |
| contract; and that all statements in sa | id proposal or bid are true.                                |
|   |   |
|   | Signature of bidder, if the bidder is an individual         |
|   |   |
|   | Partner, if the bidder is a partnership                     |
|   |   |
|   | Officer, if the bidder is a corporation                     |
|   |   |
| Subscribed and sworn before me this     | , day of,   |
|   | •   |
|   |   |
| My Commission Expires on                |   |
|   |   |

### **General Contract Conditions for Small Construction/Development Contracts**

Applicability. The following contract clauses are applicable and must be inserted into <u>small construction/development contracts</u>, greater than \$2,000 but not more than \$150,000.

#### 1. Definitions

Terms used in this form are the same as defined in form HUD-5370

#### 2. Prohibition Against Liens

The Contractor is prohibited from placing a lien on the PHA's property. This prohibition shall apply to all subcontractors at any tier and all materials suppliers. The only liens on the PHA's property shall be the Declaration of Trust or other liens approved by HUD.

#### 3. Disputes

- (a) Except for disputes arising under the Labor Standards clauses, all disputes arising under or relating to this contract, including any claims for damages for the alleged breach thereof which are not disposed of by agreement, shall be resolved under this clause.
- (b) All claims by the Contractor shall be made in writing and submitted to the Contracting Officer for a written decision. A claim by the PHA against the Contractor shall be subject to a written decision by the Contracting Officer.
- (c) The Contracting Officer shall, within 30 days after receipt of the request, decide the claim or notify the Contractor of the date by which the decision will be made.
- (d) The Contracting Officer's decision shall be final unless the Contractor (1) appeals in writing to a higher level in the PHA in accordance with the PHA's policy and procedures, (2) refers the appeal to an independent mediator or arbitrator, or (3) files suit in a court of competent jurisdiction. Such appeal must be made within 30 days after receipt of the Contracting Officer's decision.
- (e) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under or relating to the contract, and comply with any decision of the Contracting Officer.

#### 4. Default

(a) If the Contractor refuses or fails to prosecute the work, or any separable part thereof, with the diligence that will insure its completion within the time specified in this contract, or any extension thereof, or fails to complete said work within this time, the Contracting Officer may, by written notice to the Contractor, terminate the right to proceed with the work (or separable part of the work) that has been delayed. In the event, the PHA may take over the work and complete it by contract or otherwise, and may take possession of and use any materials, equipment, and plant on the work site necessary for completing the work. The Contractor and its sureties shall be liable for any damage to the PHA resulting from the Contractor's refusal or failure to complete the work within the specified time, whether or not the Contractor's right to proceed with the work is terminated. This liability includes any increased costs incurred by the PHA in completing the work.

## U.S. Department of Housing and Urban Development

Office of Public and Indian Housing OMB Approval No. 2577-0157 (exp. 3/31/2020)

- (b) The Contractor's right to proceed shall not be terminated or the Contractor charged with damages under this clause if
  - The delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of the Contractor; and
  - (2) The Contractor, within 10 days from the beginning of such delay notifies the Contracting Officer in writing of the causes of delay. The Contracting Officer shall ascertain the facts and the extent of the delay. If, in the judgment of the Contracting Officer, the findings of Fact warrant such action, time for completing the work shall be extended by written modification to the contract. The findings of the Contracting Officer shall be reduced to a written decision which shall be subject to the provisions of the **Disputes** clause of this contract.
- (c) If, after termination of the Contractor's right to proceed, it is determined that the Contractor was not in default, or that the delay was excusable, the rights and obligation of the parties will be the same as if the termination had been for convenience of the PHA.

#### 5. Termination for Convenience

- (a) The Contracting Officer may terminate this contract in whole, or in part, whenever the Contracting Officer determines that such termination is in the best interest of the PHA. Any such termination shall be effected by delivery to the Contractor of a Notice of Termination specifying the extent to which the performance of the work under the contract is terminated, and the date upon which such termination becomes effective.
- (b) If the performance of the work is terminated, either in whole or in part, the PHA shall be liable to the Contractor for reasonable and proper costs resulting from such termination upon the receipt by the PHA of a properly presented claim setting out in detail: (1) the total cost of the work performed to date of termination less the total amount of contract payments made to the Contractor; (2) the cost (including reasonable profit) of settling and paying claims under subcontracts and material orders for work performed and materials and supplies delivered to the site, payment for which has not been made by the PHA to the Contractor or by the Contractor to the subcontractor or supplier; (3) the cost of preserving and protecting the work already performed until the PHA or assignee takes possession thereof or assumes responsibility therefore; (4) the actual or estimated cost of legal and accounting services reasonably necessary to prepare and present the termination claim to the PHA; and (5) an amount constituting a reasonable profit on the value of the work performed by the Contractor.
- (c) The Contracting Officer will act on the Contractor's claim within days (60 days unless otherwise indicated) of receipt of the Contractor's claim.
- (d) Any disputes with regard to this clause are expressly made subject to the provisions of the Disputes clause of this contract.

#### 6. Insurance

(a) Before commencing work, the Contractor and each subcontractor shall furnish the PHA with certificates of insurance showing the following insurance is in force and will insure all operations under the Contract:

- (1) Workers' Compensation, in accordance with state or Territorial Workers' Compensation laws.
- (2) Commercial General Liability with a combined single limit for bodily injury and property damage of not less than \$ \_\_\_\_\_\_ [Contracting Officer insert amount] per occurrence to protect the Contractor and each subcontractor against claims for bodily injury or death and damage to the property of others. This shall cover the use of all equipment, hoists, and vehicles on the site(s) not covered by Automobile Liability under (3) below. If the Contractor has a "claims-made" policy, then the following additional requirements apply: the policy must provide a "retroactive date" which must be on or before the execution date of the Contract; and the extended reporting period may not be less than five years following the completion date of the Contract.
- (3) Automobile Liability on owned and non -owned motor vehicles used on the site(s) or in connection therewith for a combined single limit for bodily injury and property damage of not less than \$ \_\_\_\_\_ [Contracting Officer insert amount] per occurrence.
- (b) Before commencing work, the Contractor shall furnish the PHA with a certificate of insurance evidencing that Builder's Risk (fire and extended coverage) Insurance on all work in place and/or materials stored at the building site(s), including foundations and building equipment, is in force. The Builder's Risk Insurance shall be for the benefit of the Contractor and the PHA as their interests may appear and each shall be named in the policy or policies as an insured. The Contractor in installing equipment supplied by the PHA shall carry insurance on such equipment from the time the Contractor takes possession thereof until the Contract work is accepted by the PHA. The Builder's Risk Insurance need not be carried on excavations, piers, footings, or foundations until such time as work on the superstructure is started. It need not be carried on landscape work. Policies shall furnish coverage at all times for the full cash value of all completed construction, as well as materials in place and/or stored at the site(s), whether or not partial payment has been made by the PHA. The Contractor may terminate this insurance on buildings as of the date taken over for occupancy by the PHA. The Contractor is not required to carry Builder's Risk Insurance for modernization work which does not involve structural alterations or additions and where the PHA's existing fire and extended coverage policy can be endorsed to include such work.
- (c) All insurance shall be carried with companies which are financially responsible and admitted to do business in the State in which the project is located. If any such insurance is due to expire during the construction period, the Contractor (including subcontractors, as applicable) shall not permit the coverage to lapse and shall furnish evidence of coverage to the Contracting Officer. All certificates of insurance, as evidence of coverage, shall provide that no coverage may be canceled or non-renewed by the insurance company until at least 30 days prior written notice has been given to the Contracting Officer.

#### 7. Contract Modifications

- (a) Only the Contracting Officer has authority to modify any term or condition of this contract. Any contract modification shall be authorized in writing.
- (b) The Contracting Officer may modify the contract unilaterally (1) pursuant to a specific authorization stated in a contract clause (e.g., Changes); or (2) for administrative matters which

- do not change the rights or responsibilities of the parties (e.g., change in the PHA address). All other contract modifications shall be in the form of supplemental agreements signed by the Contractor and the Contracting Officer.
- (c) When a proposed modification requires the approval of HUD prior to its issuance (e.g., a change order that exceeds the PHA's approved threshold), such modification shall not be effective until the required approval is received by the PHA.

#### 8. Changes

- (a) The Contracting Officer may, at any time, without notice to the sureties, by written order designated or indicated to be a change order, make changes in the work within the general scope of the contract including changes:
  - (1) In the specifications (including drawings and designs);
  - (2) In the method or manner of performance of the work;
  - (3) PHA-furnished facilities, equipment, materials, services, or site: or.
  - (4) Directing the acceleration in the performance of the work.
  - (b) Any other written order or oral order (which, as used in this paragraph (b), includes direction, instruction, interpretation, or determination) from the Contracting Officer that causes a change shall be treated as a change order under this clause; provided, that the Contractor gives the Contracting Officer written notice stating
- (1) the date, circumstances and source of the order and (2) that the Contractor regards the order as a change order.
- (c) Except as provided in this clause, no order, statement or conduct of the Contracting Officer shall be treated as a change under this clause or entitle the Contractor to an equitable adjustment.
- (d) If any change under this clause causes an increase or decrease in the Contractor's cost of, or the time required for the performance of any part of the work under this contract, whether or not changed by any such order, the Contracting Officer shall make an equitable adjustment and modify the contract in writing. However, except for a adjustment based on defective specifications, no proposal for any change under paragraph (b) above shall be allowed for any costs incurred more than 20 days (5 days for oral orders) before the Contractor gives written notice as required. In the case of defective specifications for which the PHA is responsible, the equitable adjustment shall include any increased cost reasonably incurred by the Contractor in attempting to comply with the defective specifications.
  - (e) The Contractor must assert its right to an adjustment under this clause within 30 days after (1) receipt of a written change order under paragraph (a) of this clause, or (2) the furnishing of a written notice under paragraph (b) of this clause, by submitting a written statement describing the general nature and the amount of the proposal. If the facts justify it, the Contracting Officer may extend the period for submission. The proposal may be included in the notice required under paragraph (b) above. No proposal by the Contractor for an equitable adjustment shall be allowed if asserted after final payment under this contract.
- (f) The Contractor's written proposal for equitable adjustment shall be submitted in the form of a lump sum proposal supported with an itemized breakdown of all increases and decreases in the contract in at least the following details:
  - Direct Costs. Materials (list individual items, the quantity and unit cost of each, and the aggregate cost); Transportation and delivery costs associated with materials; Labor

breakdowns by hours or unit costs (identified with specific work to be performed); Construction equipment exclusively necessary for the change; Costs of preparation and/or revision to shop drawings resulting from the change; Worker's Compensation and Public Liability Insurance; Employment taxes under FICA and FUTA; and, Bond Costs - when size of change warrants revision.

- (2) Indirect Costs. Indirect costs may include overhead, general and administrative expenses, and fringe benefits not normally treated as direct costs.
- (3) Profit. The amount of profit shall be negotiated and may vary according to the nature, extent, and complexity of the work required by the change.

The allowability of the direct and indirect costs shall be determined in accordance with the Contract Cost Principles and Procedures for Commercial Firms in Part 31 of the Federal Acquisition Regulation (48 CFR 1-31), as implemented by HUD Handbook 2210.18, in effect on the date of this contract. The Contractor shall not be allowed a profit on the profit received by any subcontractor. Equitable adjustments for deleted work shall include a credit for profit and may include a credit for indirect costs. On proposals covering both increases and decreases in the amount of the contract, the application of indirect costs and profit shall be on the net-change in direct costs for the Contractor or subcontractor performing the work.

- (g) The Contractor shall include in the proposal its request for time extension (if any), and shall include sufficient information and dates to demonstrate whether and to what extent the change will delay the completion of the contract in its entirety.
- (h) The Contracting Officer shall act on proposals within 30 days after their receipt, or notify the Contractor of the date when such action will be taken.
- (i) Failure to reach an agreement on any proposal shall be a dispute under the clause entitled Disputes herein. Nothing in this clause, however, shall excuse the Contractor from proceeding with the contract as changed.
- (j) Except in an emergency endangering life or property, no change shall be made by the Contractor without a prior order from the Contracting Officer.

#### 9. Examination and Retention of Contractor's Records

The HA, HUD, or Comptroller General of the United States, or any of their duly authorized representatives shall, until three years after final payment under this contract, have access to and the right to examine any of the Contractor's directly pertinent books, documents, papers, or other records involving transactions related to this contract for the purpose of making audit, examination, excerpts, and transcriptions.

10. Rights in Data and Patent Rights (Ownership and Proprietary Interest)

The HA shall have exclusive ownership of, all proprietary interest in, and the right to full and exclusive possession of all information, materials, and documents discovered or produced by Contractor pursuant to the terms of this Contract, including but not limited to reports, memoranda or letters concerning the research and reporting tasks of this Contract.

#### 11. Energy Efficiency

The Contractor shall comply with all mandatory standards and policies relating to energy efficiency which are contained in the energy conservation plan issued in compliance with the Energy Policy and Conservation Act (Pub.L. 94-163) for the State in which the work under this contract is performed.

#### 12. Procurement of Recovered Materials

- (a) In accordance with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act, the Contractor shall procure items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR Part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition. The Contractor shall procure items designated in the EPA guidelines that contain the highest percentage of recovered materials practicable unless the Contractor determines that such items: (1) are not reasonably available in a reasonable period of time; (2) fail to meet reasonable performance standards, which shall be determined on the basis of the guidelines of the National Institute of Standards and Technology, if applicable to the item; or (3) are only available at an unreasonable price.
- (b) Paragraph (a) of this clause shall apply to items purchased under this contract where: (1) the Contractor purchases in excess of \$10,000 of the item under this contract; or (2) during the preceding Federal fiscal year, the Contractor: (i) purchased any amount of the items for use under a contract that was funded with Federal appropriations and was with a Federal agency or a State agency or agency of a political subdivision of a State; and (ii) purchased a total of in excess of \$10,000 of the item both under and outside that contract.
- 13. Training and Employment Opportunities for Residents in the Project Area (Section 3, HUD Act of 1968; 24 CFR 135)
- (a) The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
  - (b) The parties to this contract agree to comply with HUD's regulations in 24 CFR Part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 135 regulations.
- (c) The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the

- qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
- (d) The contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 135.
- (e) The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR Part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR Part 135.
- (f) Noncompliance with HUD's regulations in 24 CFR Part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

## 14. Labor Standards - Davis-Bacon and Related Acts (a) Minimum Wages.

(1) All laborers and mechanics employed under this contract in the construction or development of the project(s) involved will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the Contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of 29 CFR 5.5(a)(1)(iv); also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the regular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits in the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under 29 CFR 5.5(a)(1)(ii) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Contractor and its subcontractors at the site of the work in

- a prominent and accessible place where it can be easily seen by the workers.
- (2) (i) Any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. HUD shall approve an additional classification and wage rate and fringe benefits therefor only when all the following criteria have been met:
  - (a) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
  - (b) The classification is utilized in the area by the construction industry; and
  - (c) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
  - (ii) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and HUD or its designee agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action shall be sent by HUD or its designee to the Administrator of the Wage and Hour Division, Employee Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise HUD or its designee or will notify HUD or its designee within the 30-day period that additional time is necessary.
    - (iii) In the event the Contractor, the laborers or mechanics to be employed in the classification or their representatives, and HUD or its designee do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), HUD or its designee shall refer the questions, including the views of all interested parties and the recommendation of HUD or its designee, to the Administrator of the Wage and Hour Division for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise HUD or its designee or will notify HUD or its designee within the 30-day period that additional time is necessary.
  - (iv) The wage rate (including fringe benefits where appropriate) determined pursuant to subparagraphs (a)(2)(ii) or (iii) of this clause shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
  - (3) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the Contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
  - (4) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part

- of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program; *provided*, that the Secretary of Labor has found, upon the written request of the Contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.
- (b) Withholding of Funds. HUD or its designee shall, upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same prime Contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working in the construction or development of the project, all or part of the wages required by the contract, HUD or its designee may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased. HUD or its designee may, after written notice to the Contractor, disburse such amounts withheld for and on account of the Contractor or subcontractor to the respective employees to whom they are due.
- (c) Payrolls and Basic Records.
  - (1) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working in the construction or development of the project. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made, and actual wages paid. Whenever the Secretary of Labor has found, under 29 CFR 5.5(a)(1)(iv), that the wages of any laborer or mechanic include the amount of costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of

- the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.
- (2) (i) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Contracting Officer for transmission to HUD or its designee. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under subparagraph (c)(1) of this clause. This information may be submitted in any form desired. Optional Form WH-347 (Federal Stock Number 029-005-00014-1) is available for this purpose and may be purchased from the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402. The prime Contractor is responsible for the submission of copies of payrolls by all subcontractors. (Approved by the Office of Management and Budget under OMB Control Number 1214-0149.)
  - (ii) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
    - (A) That the payroll for the payroll period contains the information required to be maintained under paragraph (c)(1) of this clause and that such information is correct and complete;
    - (B) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in 29 CFR Part 3; and
      - (C) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
  - (iii) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirements for submission of the "Statement of Compliance" required by subparagraph (c)(2)(ii) of this clause.
  - (iv) The falsification of any of the above certifications may subject the Contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 3729 of Title 31 of the United States Code.
- (3) The Contractor or subcontractor shall make the records required under subparagraph (c)(1) available for inspection, copying, or transcription by authorized representatives of HUD or its designee, the Contracting Officer, or the Department of Labor and shall permit such representatives to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit the required records or to make them available, HUD or its designee may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

(d) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services (OATELS), or with a State Apprenticeship Agency recognized by OATELS, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by OATELS or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in this paragraph, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator of the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event OATELS, or a State Apprenticeship Agency recognized by OATELS, withdraws approval of an apprenticeship program, the Contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(e) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate

specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed in the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate in the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate in the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate in the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the Contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- (f) Equal Employment Opportunity. The utilization of apprentices, trainees, and journeymen under this clause shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.
- (g) Compliance with Copeland Act Requirements. The Contractor shall comply with the requirements of 29 CFR Part 3, which are hereby incorporated by reference in this contract.
- (h) Contract Termination; Debarment. A breach of the labor standards clauses in this contract may be grounds for termination of the contract and for debarment as a Contractor and a subcontractor as provided in 29 CFR 5.12.
- (i) Compliance with Davis-Bacon and related Act Requirements. All rulings and interpretations of the Davis-Bacon and related Acts contained in 29 CFR Parts 1, 3, and 5 are herein incorporated by reference in this contract.
- (j) Disputes Concerning Labor Standards. Disputes arising out of the labor standards provisions of this clause shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR Parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the PHA, HUD, the U.S. Department of Labor, or the employees or their representatives.
- (k) Certification of Eligibility.
  - (1) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded contracts by the United States Government by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
  - (2) No part of this contract shall be subcontracted to any person or firm ineligible for award of a United States Government

- contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (3) The penalty for making false statements is prescribed in the U. S. Criminal Code, 18 U.S.C. 1001.
- (1) Subcontracts. The Contractor or subcontractor shall insert in any subcontracts all the provisions contained in this clause, and such other clauses as HUD or its designee may by appropriate instructions require, and also a clause requiring the subcontractors to include these provisions in any lower tier subcontracts. The prime Contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all these provisions.
- (m) Non-Federal Prevailing Wage Rates. Any prevailing wage rate (including basic hourly rate and any fringe benefits), determined under State law to be prevailing, with respect to any employee in any trade or position employed under the contract, is inapplicable to the contract and shall not be enforced against the Contractor or any subcontractor, with respect to employees engaged under the contract whenever such non-Federal prevailing wage rate exceeds:
  - the applicable wage rate determined by the Secretary of Labor pursuant to the Davis-Bacon Act (40 U.S.C. 3141 et seq.) to be prevailing in the locality with respect to such trade;
  - (ii) an applicable apprentice wage rate based thereon specified in an apprenticeship program registered with the U.S.
     Department of Labor (DOL) or a DOL-recognized State Apprenticeship Agency; or
  - (iii) an applicable trainee wage rate based thereon specified in a DOL-certified trainee program.

| Signature & Date      |      |  |
|-----------------------|------|--|
| Typed or Printed Name | <br> |  |
|                       | <br> |  |
| Title                 |      |  |



#### **Request for Taxpayer Identification Number and Certification**

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Give Form to the requester. Do not send to the IRS.

|   | i Name (as shown on your income tax return). Name is required on this line, do not leave this line blank.   |  |   |                          |          |              |               |
|---|---|--|---|--------------------------|----------|--------------|---------------|
|   | 2 Business name/disregarded entity name, if different from above  |  |   |                          |          |              |               |
| on page 3.  | 3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check following seven boxes.  Individual/sole proprietor or C Corporation S Corporation Partnership   | certa                                  | 4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): |                          |          |              |               |
| ns e  | single-member LLC   | Exen                                   | npt payee   | code                     | (if any) |              |               |
| ty p  | Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partne   | rship) ▶                               | _   |                          |          | _            |               |
| Print or type.<br>See Specific Instructions on page | Note: Check the appropriate box in the line above for the tax classification of the single-member of LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single is disregarded from the owner should check the appropriate box for the tax classification of its own | owner of the LLC i<br>gle-member LLC t | s code  | nption fro<br>e (if any) | m FA     | ГСА гер      | orting        |
| eci   | ☐ Other (see instructions) ▶  |  | (Applie   | es to account            | s mainta | iined outsid | e the U.S.)   |
| Sp  | 5 Address (number, street, and apt. or suite no.) See instructions.   | Requester's nam                        | ne and ac   | ldress (op               | tional   | )            |               |
| See   |   |  |   |                          |          |              |               |
| 0,  | 6 City, state, and ZIP code   |  |   |                          |          |              |               |
|   |   |  |   |                          |          |              |               |
|   | 7 List account number(s) here (optional)  |  |   |                          |          |              |               |
|   |   |  |   |                          |          |              |               |
| Par   |   | 0:-1                                   |   |                          |          |              |               |
|   | your TIN in the appropriate box. The TIN provided must match the name given on line 1 to av<br>up withholding. For individuals, this is generally your social security number (SSN). However, t   | 0.0                                    | security  | number                   | 7 [      | _            |               |
|   | ent alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other  | or a                                   | _   |                          | _        |              |               |
|   | es, it is your employer identification number (EIN). If you do not have a number, see <i>How to ge</i>  |  |   |                          | J        |              | $\perp \perp$ |
| TIN, la   |   | or                                     | ·   |                          |          |              |               |
|   | If the account is in more than one name, see the instructions for line 1. Also see What Name per To Give the Requester for guidelines on whose number to enter.   | and Employ                             | yer ident   | ification                | lumb     | er           | =             |
| IVUITIL   | er to dive the nequester for guidelines on whose number to enter.   |  | _   |                          |          | .            |               |
|   |   |  |   |                          |          |              |               |
| Par   |   |  |   |                          |          |              |               |
|   | r penalties of perjury, I certify that:   |  |   |                          |          |              |               |
| 2. I ar<br>Ser                                      | e number shown on this form is my correct taxpayer identification number (or I am waiting for not subject to backup withholding because: (a) I am exempt from backup withholding, or (bruce (IRS) that I am subject to backup withholding as a result of a failure to report all interest longer subject to backup withholding; and   | ) I have not beer                      | n notifie   | d by the                 | Inter    |              |               |
| 3. I ar   | n a U.S. citizen or other U.S. person (defined below); and  |  |   |                          |          |              |               |
| 4. The  | e FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting  | na is correct.                         |   |                          |          |              |               |

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tay return. For real estate transactions, item 2 does not apply. For mortgage interest paid

| acquisition  | or abandonment of secured p   | operty, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later. |
|--------------|-------------------------------|--|
| Sign<br>Here | Signature of<br>U.S. person ► | Date ►   |

#### **General Instructions**

Section references are to the Internal Revenue Code unless otherwise

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

#### **Purpose of Form**

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

• Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)

- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

#### SECTION 3 BUSINESS SELF-CERTIFICATION FORM

(In compliance with Section 3 of the HUD Act of 1968 Updated 24 CFR Part 75 11/30/2020)

The purpose of this form is to comply with Section 3 of the HUD Act of 1968 Business Certification requirements. To count as a Section 3 Business your company/firm must meet one of the listed categories below. Each category will require additional documentation to support the election. You must provide that supporting documentation with this form to be properly and completely confirmed as a Section 3 business. If this form is submitted without the required supplemental data, your certification will not be processed.

| Section 3 Business Category   | Additional Required Data  | Mark an                    |
|---|---|----------------------------|
|   |   | "X" on<br>Your<br>Election |
| It is at least 51 percent owned by low-<br>or very low-income persons;  | Proof of ownership showing all owners and their percentages and a completed Section 3 Individual Self-Certification form for all low- and very low-income owners  |                            |
| Over 75 percent of the labor hours performed for the business are performed by low- or very low-income persons; or                            | Provide the last 90 days full payrolls for the entire company, make a list of the names from the payrolls of the Section 3 workers, and provide a completed Section 3 Individual Self-Certification for all low- and very low-income workers you list |                            |
| It is a business at least 51 percent owned by current public housing residents or residents who currently live in Section 8-assisted housing. | Proof of ownership showing all owners and their percentages and a Section 3 Individual Self-Certification form for all public housing and/or Section 8 owners   |                            |

I hereby certify to the US Department of Housing and Urban Development (HUD) that all of the information on this form is true and correct. I attest under penalty of perjury that my business meets the elected definition and understand proof of this information may be requested. If found to be inaccurate, I understand that I may be disqualified as a certified Section 3 business

| Signature:                     |               |            |               | Dat            | e Signed: |
|--------------------------------|---------------|------------|---------------|----------------|-----------|
| Print Name:                    |               | Т          | itle:         |                |           |
| Company Name:                  |               | Signers E  | mail:         |                |           |
| Address                        |               |            |               |                |           |
| Telephone Number               |               |            |               |                |           |
| Type of Business: (Check One): | □ Corporation | □ Partners | ship □ Sole I | Proprietorship | □ Other   |



# Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transactions

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 13 CFR Part 145. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211). Copies of the regulations are available from local offices of the U.S. Small Business Administration.

#### (BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

| ( | 1) | The prospective primary | / participan | t certifies to t | he best of its | knowledge a | and belief that | it and its princ | ipals |
|---|----|-------------------------|--------------|------------------|----------------|-------------|-----------------|------------------|-------|
|   |    |                         |              |                  |                |             |                 |                  |       |

- (a) Are not presently debarred, suspended, proposed for disbarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective primary participant shall attach an explanation to this proposal.

| Business Name |   |
|---------------|---|
|               |   |
| Date          | By  |
|               | Name and Title of Authorized Representative |
|               | Signature of Authorized Representative      |



#### INSTRUCTIONS FOR CERTIFICATION

- 1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
- 2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
- 3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If is is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
- 4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 5. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is submitted for assistance in obtaining a copy of those regulations (13 CFR Part 145).
- 6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- 7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- 8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the ineligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
- 9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.



### DAVIS-BACON WAGE RATES QSP – Parking Lot Renovations at Hoffman Garden

## ACKNOWLEDGEMENT DAVIS-BACON WAGE RATE FORM

I, ACKNOWLEDGE THAT I HAVE READ AND WILL ADHERE TO ALL FEDERAL WAGE AND PAY RATE GUIDELINES FOUND IN THE ATTACHED DAVIS-BACON WAGE RATE DOCUMENT.

| FIRM:         | <br> |  |
|---------------|------|--|
| PRINTED NAME: |      |  |
| SIGNATURE:    |      |  |
| TITLE:        | <br> |  |
| DATE:         |      |  |

General Decision Number: FL20210074 01/22/2021

"General Decision Number: FL20210074 01/22/2021

Superseded General Decision Number: FL20200074

State: Florida

Construction Type: Residential

County: Miami-Dade County in Florida.

RESIDENTIAL CONSTRUCTION PROJECTS (consisting of single family homes and apartments up to and including 4 stories).

Note: Under Executive Order (EO) 13658, an hourly minimum wage of \$10.95 for calendar year 2021 applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.95 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in calendar year 2021. If this contract is covered by the EO and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must pay workers in that classification at least the wage rate determined through the conformance process set forth in 29 CFR 5.5(a)(1)(ii) (or the EO minimum wage rate, if it is higher than the conformed wage rate). The EO minimum wage rate will be adjusted annually. Please note that this EO applies to the above-mentioned types of contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but it does not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(2)-(60). Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Modification Number Publication Date

0 01/01/2021 1 01/22/2021

ELEC0349-009 09/01/2020

Rates Fringes

ELECTRICIAN.....\$ 36.36 11.82

ENGI0487-012 07/01/2013

Rates Fringes

| OPERATOR: Backhoe   |       |
|---|-------|
| (Without Oiler/Apprentice); Hydro/Friction Cranes without Oiler/Apprentices when Approved by Union; & All Type of Flying Cranes\$ 29.05 Cranes with Boom Length Less than 150 Feet (With or without jib); Hydro Cranes 25 Tons & Under, & Over 50 Tons (With Oiler/Apprentice)\$ 28.32 OPERATOR: Oiler\$ 28.39 8.80 |       |
| IRON0272-003 10/01/2020   |       |
| Rates Fringes   |       |
| IRONWORKER, ORNAMENTAL, REINFORCING AND STRUCTURAL\$ 25.79  | 13.34 |
| PAIN0365-005 08/01/2020   |       |
| Rates Fringes   |       |
| PAINTER, Includes Brush, Roller and Spray (Excludes Drywall Finishing/Taping)\$ 20.21   |       |
| * SFFL0821-003 01/01/2021   |       |
| Rates Fringes   |       |
| SPRINKLER FITTER (Fire<br>Sprinklers)\$ 29.88 20.27   |       |
|   |       |

| Rates Fringes  |
|--|
| SHEET METAL WORKER, Includes HVAC Duct Installation (Excludes Metal Roof Installation)\$23.50 12.18      |
| SUFL2009-113 06/08/2009  |
| Rates Fringes  |
| BRICKLAYER\$ 20.00 0.00  |
| CARPENTER, Excludes Drywall Hanging\$ 12.51 0.00   |
| CEMENT MASON/CONCRETE FINISHER\$ 17.97 0.00  |
| DRYWALL FINISHER/TAPER\$ 19.22 0.00  |
| DRYWALL HANGER \$ 15.69 0.00   |
| FENCE ERECTOR\$ 17.50 0.00   |
| GLAZIER\$ 20.00 0.00   |
| HVAC MECHANIC (Installation of HVAC Unit Only, Excludes Installation of HVAC Pipe and Duct)\$ 13.75 0.00 |
| LABORER: Common or General\$ 10.00 0.00  |
| LABORER: Mason Tender - Brick\$ 11.51 0.00   |
| LABORER: Mason Tender - Cement/Concrete\$ 10.46 0.00   |
| LABORER: Pipelayer\$ 11.79 0.00  |
| LABORER: Plaster Tender\$ 10.50 0.00   |
| LABORER: Roof Tearoff\$ 9.00 0.00  |
| LABORER: Landscape and Irrigation\$ 9.15 0.00  |
| OPERATOR: Asphalt Paver\$ 11.63 0.00   |
| OPERATOR: Backhoe Loader   |

0.00

0.00

Combo.....\$ 17.04

OPERATOR: Bulldozer.....\$ 13.67

| OPERATOR: Distributor\$ 11.41 0.00   |  |  |  |  |  |  |
|--|--|--|--|--|--|--|
| OPERATOR: Excavator\$ 13.50 0.00   |  |  |  |  |  |  |
| OPERATOR: Forklift\$ 17.50 0.00  |  |  |  |  |  |  |
| OPERATOR: Grader/Blade\$ 15.50 0.00  |  |  |  |  |  |  |
| OPERATOR: Loader\$ 16.48 0.00  |  |  |  |  |  |  |
| OPERATOR: Roller\$ 10.62 0.00  |  |  |  |  |  |  |
| OPERATOR: Screed\$ 10.93 0.00  |  |  |  |  |  |  |
| OPERATOR: Trackhoe\$ 15.68 0.00  |  |  |  |  |  |  |
| OPERATOR: Tractor\$ 10.20 0.00   |  |  |  |  |  |  |
| PLUMBER\$ 25.00 1.17   |  |  |  |  |  |  |
| ROOFER, Includes Built Up, Modified Bitumen, and Shake & Shingle Roofs (Excludes Metal Roofs)\$ 14.50 0.00 |  |  |  |  |  |  |
| ROOFER: Metal Roof\$ 16.99 0.00  |  |  |  |  |  |  |
| TILE SETTER \$ 16.00 0.00  |  |  |  |  |  |  |
| TRUCK DRIVER, Includes Dump Truck\$ 10.22 0.00   |  |  |  |  |  |  |
| TRUCK DRIVER: Lowboy Truck\$ 12.10 0.0   |  |  |  |  |  |  |

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is

like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

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The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers"" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

#### Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

#### Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates

the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

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#### WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations

Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION"



## APPROVAL OF SUBCONTRACTORS (If none used, so state below)



| NAME & ADDRESS PRIME CONTRACTOR:   |   | CONTRACT DESCRIPTION:   | CONTRACT DESCRIPTION:                                 |   |  |  |  |  |
|--|---|---|---|---|--|--|--|--|
| SUBCONTRACTOR NAME:  | FEIN#   | ADDRESS   |   | ANTICIPATED<br>START DATE   | ANTICIPATED<br>COMPLETION  |  |  |  |
|  |   |   |   |   |  |  |  |  |
|  |   |   |   |   |  |  |  |  |
|  |   |   |   |   |  |  |  |  |
|  |   |   |   |   |  |  |  |  |
| <b>NOTE:</b> PRIME CONTRACTORS SHALL NOT PERMIT ANY SUBCONTRACTOR TO START WORK ON THE PROJECT UNTIL THE SUBCONTRACTOR HAS BEEN APPROVED BY THE ARCHITECT / ENGINEER AND BY THE OWNER. |   |   |   |   |  |  |  |  |
| PERFORM THE WORK TO WE REQUIREMENTS LISTED ON CONCERNING LABOR AND EQ WILL BE INCORPORATED IN E.   | HICH THE SUBCONTR<br>HUD FORM-5369 ( <i>DA</i><br>UAL OPPORTUNITY E<br>ACH SUBCONTRACT. | CH SUBCONTRACTOR LISTED ABOVE HAS E ACTOR RELATES. EACH SUBCONTRACTOR HIS BACON WAGE RATES, SECTION 3, ETC). MPLOYMENT, INCORPORATED IN MY PRIME CONTRACTORS LISTED ABOVE HAVE FROM FEDERAL PROCUREMENT AND NONPROCESS. | IAS BEEN AI<br>ALL APPLIC<br>ONTRACT FO<br>BEEN CHECK | DVISED OF THE NECL<br>CABLE PROVISIONS,<br>OR THE CONSTRUCTION<br>KED AGAINST THE <i>U.S.</i> | ESSARY CONTRACT<br>INCLUDING THOSE<br>ON OF THIS PROJECT<br>GENERAL SERVICES |  |  |  |
| CERTIFICATION BY:  | API   | PROVED BY:  |   | DATE:   |  |  |  |  |
| CONTRACTOR   | EXI   | ECUTIVE DIRECTOR, HIALEAH HOUSING AUTH  | IORITY  |   |  |  |  |  |



# APPROVAL OF MATERIAL SUPPLIERS (If none used, so state below)



| NAME & ADDRESS PRIME CO  | NTRACTOR:           |                   | CON | NTRACT DESCRIPTION:    |                        |  |
|--|---------------------|-------------------|-----|------------------------|------------------------|--|
| SUPPLIERS NAME:  | UPPLIERS NAME: ADDR |                   |     | ANTICIPATED START DATE | ANTICIPATED COMPLETION |  |
|  |                     |                   |     |                        |                        |  |
|  |                     |                   |     |                        |                        |  |
|  |                     |                   |     |                        |                        |  |
|  |                     |                   |     |                        |                        |  |
|  |                     |                   |     |                        |                        |  |
|  |                     |                   |     |                        |                        |  |
|  |                     |                   |     |                        |                        |  |
| NOTE: PRIME CONTRACTORS SHALL NOT PERMIT ANY SUBCONTRACTOR TO START WORK ON THE PROJECT UNTIL THE SUBCONTRACTOR HAS BEEN APPROVED BY THE ARCHITECT / ENGINEER AND BY THE OWNER   |                     |                   |     |                        |                        |  |
| CERTIFICATION BY PRIME CONTRACTOR: EACH SUBCONTRACTOR LISTED ABOVE HAS ESTABLISHED HIS ABILITY AND RESPONSIBILITY TO PERFORM THE WORK TO WHICH THE SUBCONTRACTOR RELATES. EACH SUBCONTRACTOR HAS BEEN ADVISED OF THE NECESSARY CONTRACT REQUIREMENTS. ALL APPLICABLE PROVISIONS, INCLUDING THOSE CONCERNING LABOR AND EQUAL OPPORTUNITY EMPLOYMENT, INCORPORATED IN MY PRIME CONTRACT FOR THE CONSTRUCTION OF THIS PROJECT WILL BE INCORPORATED IN EACH SUBCONTRACT. |                     |                   |     |                        |                        |  |
| CERTIFICATION BY:  |                     | APPROVED BY:      |     | DATE:                  |                        |  |
| CONTRACTOR   |                     | EXECUTIVE DIRECTO |     |                        |                        |  |

## Hialeah Housing Authority

General Scope of Work

#### **HOFFMAN GARDENS - PARKING LOTS RENOVATIONS**

- Contractor shall furnish a detailed schedule of work to be performed to the Hialeah Housing Authority once a Notice to Proceed has been issued.
- Contractor shall be responsible to properly notify tenant within <u>48 hours</u> of commencing any work. Such notification shall be made in writing and properly placed in front door of tenant. In addition, the Contractor shall send a copy of the notification to the HHA (Hialeah Housing Authority).
- Upon commencing any work, Contractor will be responsible to visit the job site and become familiar with the existing conditions of the building, including, but not limited to access routes, parking, delivery, and storage areas. If any conditions deviate from the overall scope of work, Contractor shall notify the HHA in writing within 7 calendar days prior to commencing work.
- Contractor shall provide all labor, materials, equipment and shop drawings required to perform and complete the scope of work as specified in a work like manner.
- Contractor shall acquire all necessary permits, pay all permit fees and pass all required inspections from the Building Department and/or the Hialeah Housing Authority.
- All construction to be in accordance with all applicable local codes and ordinances and the Florida Building Code.
- Contractor shall be responsible to properly secure and protect the construction site from theft, vandalism and possible work related damages to both existing structure and new construction. All path-up work performed shall match existing.
- Contractors shall provide a safe working environment and comply, at a minimum, with OSHA standards to reduce and/or eliminate possible work related accidents.
- Contractor shall be responsible to protect and safe guard against damages to all vehicles and landscape. If special arrangements need to be made, the Contractor shall notify the H.H.A. in writing within 7 working days prior to commencing work.
- Contractor is responsible to keep the job site free from accumulation of waste material and rubbish. Before the end of each working day, the Contractor shall inspect and clean-up any debris from the work area. Contractor shall remove and discard all construction debris from site at the completion of the scope of work specified and prior to the final inspection.
- All work is subject to inspection by the Contracting Officer, Site Manager, Site Foreman, Maintenance Supervisor, and/or their appointee. They may require the Contractor to correct any defective workmanship or to replace any defective materials with no further cost to HHA, within 2-business days of notification of deficiency.
- The Hialeah Housing Authority reserves the right to disqualify and/or terminate any Contractor at any time that does not meet all of the Hialeah Housing Authority's criteria and/or does not follow the specifications.

#### **Work Specifications**

#### General Notes:

- 1. Clean entire area thoroughly using power air blower and manual push broom.
- 2. Treat all oil spots with Gem Seal spot primer.
- 3. Furnish and apply spray coat of Gem Seal emulsion sealer with silica sand mis as per manufacturer's specifications.
- 4. Restripe all parking lot area including approaches, fire lanes etc.
- 5. Repair green areas as needed.
- 6. Repair marked asphalt parking lot areas as outlined below. For bidding purposes, estimate 25 sq. ft. per each area
- 7. Replace all broken / missing curbs as needed. Repair asphalt area around as needed.
- 8. Provide a minimum of 50 bumpers in proposal to replace broken / missing bumpers as needed. HHA will advise contractor which bumpers will be replaced.
- 9. Reinstall existing bumpers correctly as needed.
- 10. New bumpers do **NOT** need to be painted.
- 11. All bumpers including all visitor bumpers need to be numbered and/or labeled as such.
- 12. Provide and install handicap parking signs as needed.
- 13. Areas where there is root damage (if applicable) need to be leveled and repaired (including root removal).

#### **Additional Notes:**

#### Total of parking bumpers needed 50.

Office 17

910 parking lot 3

900 parking lot 4

860 Parking lot 5

985 parking lot 4

905 parking lot 4

925 parking lot 3

#### Asphalt repairs are marked in parking lots.

Office 1

980 parking lot spaces 3

975 parking lot spaces 10, including exit path of parking lot

900 parking lot spaces 7

860 parking lot spaces 10

975 parking lot spaces 7

905 parking lot spaces 10

985 parking lot spaces 1